# European Commission United Nations Development Programme International IDEA

Joint Training on Effective Electoral Assistance

DAY 4

**Brussels, 22-26 October 2007** 



## **EC** Resources and Services

Francesco TORCOLI Election Specialist AIDCO-EC

Joint Training on Effective Electoral Assistance Day 4



#### **Presentation Overview**

**EC Organigramme and Internal Structure** 

Who does What at EC

**EC Resources for Electoral Assistance** 

**EC Project Documentation** 

**Quality Support Group - Disbursement of Funds** 

#### POLICIES

- Agriculture and Rural Development
- Competition
- Economic and Financial Affairs
- Education and Culture
- Employment, Social Affairs and Equal Opportunities
- Enterprise and Industry
- Environment
- Fisheries and Maritime Affairs
- Health and Consumer Protection
- Information Society and Media
- Internal Market and Services
- Joint Research Centre
- Justice, Freedom and Security
- Regional Policy
- Research
- Taxation and Customs Union
- Transport and Energy

#### EXTERNAL RELATIONS

- Development
- Enlargement
- EuropeAid Co-operation Office
- External Relations
- Humanitarian Aid
- Trade

#### GENERAL SERVICES

- Communication
- European Anti-Fraud Office
- Eurostat
- Publications Office
- Secretariat General

#### INTERNAL SERVICES

- Budget
- Bureau of European Policy Advisers
- Informatics
- Infrastructures and Logistics Brussels
- Infrastructures and Logistics Luxembourg
- Internal Audit Service
- Interpretation
- Legal Service
- Personnel and Administration



#### **RELEX FAMILY**

#### **EXTERNAL RELATIONS**

- Development
- Enlargement
- EuropeAid Co-operation Office
- External Relations
- Humanitarian Aid
- Trade

#### **Dealing with Electoral Assistance**

DG Development
DG External Relations
EuropeAid



# **EC - Institutional Set up and Functioning**

There is no focal point at HQ for electoral assistance in support to Delegations and National Authorities which are "Chef de File" from identification to evaluation.

Such activities are handled by a number of different services throughout the EC according to the different phases of the Operations Cycle and sources of funds.

Programming: RELEX B1 - Mainstreaming

**DEV/RELEX Country Desks** 

From Identification to Evaluation AIDCO Geo-coordinators

AIDCO 04 - CMU

Quality Support Operations: AIDCO E4

CHARMONTON CONT. FOR ECHOLEMB CO-OF ENTITION OF FICE (CIRCUIT) Director-General Assistants K. Richelle Assistant E. Toledano Laredo Deputy Director-General L. Sarazin A. Bagillo R. Weber 01. Organisation strategies, Aid 04. Information, communication effectiveness and relations with 02. Internal audit capability 03. Evaluation and front office Adviser bilateral donors L. Bagur O. Scott-Larsen J.L. Chomel Ph. Loop Direction E Direction B Direction C Direction D Direction A Direction F Direction G Europe, Southern Mediterranean Sub-Saharan Africa, Caribbean, Middle-East and Neighbourhood Latin America Asie and Central Asia Quality of Operations Thematic operations Resources Pacific Policy M. Cornaro A. Cas Granje G. Quince E. Muller A. Kaminara C. Stathopoulos D1. Geographical cordination and Adviser A1. Geographical coordination B1. Geographical coordination C1. Geographical coordination F1. Relations with civil society G1. Programming and budgetary supervision for Asia and Central and supervision for Europe and supervision for Latin America and supervision for ACP's and coordination matters G. Doucet B. Luecke A. Gonzalo Castellanos J.L. Trimiño B. Kolecka A. Bouratsis J. Izarra Aguado A2. Geographical coordination G2. Coordination of ICS and B2. Centralised operations for C2. Geographical coordination D2. Centralised operations for F2. Central management of and supervision for the E1. Macro-economic support methodology for expost controls Latin America and supervision for ACP's Asia and Central Asia thematic budget lines PA RELEX Mediterranean and Middle-East and auditing J. Duvnhouwer B. Papadopoulos M. Barfod V. Piket J-L. Lacube H. Stausboll J. Rels Conde C3. Coordination Intra-ACP and A3. Centralised operations for B3. Finance, Contracts and Audit D3. Finance, Contracts and Audit E2. Business, trade and regional F3. Central management of Europe, the Mediterranean and OCTs, pan-African issues and G3. Human resources for Latin America for Asia and Central Asia thematic budget lines PA DEV Integration Middle-East horizontal aspects C. Montesi F. Melendro Arnalz D. David M. De Coninck J. Ten Bloemendal M. Lévéque C4. Centralised operations for the E3. Social and human F4. Finance, Contracts and Audit G4. Training and knowledge A4. Nuclear Safety ACP countries development and migration for thematic budget lines management J.P. Joulia R. Mateus Paula H. Bourgade C. Osorio G. Van Blizen A5. Finance, Contracts, and C5. Finance. Contracts and Audit E4. Governance, security, human G5. Information systems and Audit for Europe, Mediterranean for the ACP countries rights and gender office technology and the Middle-East D. Dellicour W. Beurms J.L. VIIIe C. Elch C6. African Union and Peace E5. Quality monitoring systems G6. Documents management A6. Multi-country programmes Facility and methodologies and office Infrastructure S. Della Monica C. Hebberecht J. Butkeviciene G7. Financial and contractual C7. Water and Energy Facility E6. Natural resources matters, and legal affairs W. Van der Goot J.P. Halkin D. Salord G8. Relations with the Council, E7. Infrastructures the EP & the Int. Org.; secr. of Mngmt committees M. Pennington J. Haïk



Covers the thematic issue of electoral assistance in AIDCO without distinction of financial instruments or geographical areas with a specific focus on four main areas:

Operational guidance and implementation strategies for the management of project/programmes relating to Election Assistance

Quality check/support to be performed at predefined stages of the operations' cycle in order to provide assurance on the application of the recommended quality standards

Support on demand in all phases of the operations' cycle

**Monitoring of impact** 



# **Sources of Funding 2007**

- □ European Development Fund (EDF)
- ☐ European Neighborhood and Partnership Instrument (ENPI)
- □ Development Cooperation Instrument (DCI)
- ☐ Instrument for Stability (IfS)
- □ European Instrument for Democracy Human Rights (EIDHR)



#### **European Development Funds**

- □ The European Development Fund (EDF) is the main instrument for Community aid for development cooperation in the ACP countries and the Overseas Countries and Territories (OCT).
- □ EDF does not come under the general Community budget it is funded by the Member States, covered by its own financial rules and managed by a specific committee.
- Each EDF is concluded for a period of around five years. Since the conclusion of the first partnership convention in 1964, the EDF cycles have generally followed that of the partnership agreements/conventions.
- □ The current 9thEDF was concluded at the same time as the Cotonou Agreement, and the 10thEDF will start in January 2008. This instrument is fully available to promote human rights, democracy and rule of law programmes, in accordance with the basic principles of governance set out in its Art 8, Art.9 and Art 11 of Cotonou Agreement.



### **General Budget**

- ☐ The EU Community's General budget, in its 'External Actions' section covers the EC aid resources deployed in the rest of the world, under the geographical instruments other than EDF, such as:
- □ European Neighbourhood and Partnership Instrument: covers community assistance to: Algeria, Armenia, Azerbaijan, Belarus, Egypt, Georgia, Israel, Jordan, Lebanon, Libya, Moldova, Morocco, Palestinian Authority, Russia, Syria, Tunisia and Ukraine.
- **□** Development Cooperation Instrument : Asia, Latin America and South Africa.
- □ This assistance is available, inter alia, to promote human rights, democracy and the rule of law, through programmes focused primarily but not exclusively on assistance channelled via governments, much of it targeted at tackling the root causes of poverty.



is a thematic programme that has available approximately € 100 million/year under Chapter B7-7 of the budget to support human rights, democratisation and conflict prevention activities.

Its specificity is that these activities are to be carried out primarily in partnership with NGOs and international organisations.

In the field of electoral assistance is used primarily for civic education and voter information campaigns, public awareness-raising programmes, capacity building and technical assistance for domestic observers groups. Lately also the ACE project is was successfully considered as a targeted project.

The EU Electoral Observation Missions are exclusively funded by EIDHR.



# Instrument for Stability (IfS)

#### The specific aims of IfS are:

- In a situation of crisis or emerging crisis, to contribute to stability by providing an effective response to help preserve, establish or re-establish the conditions essential for the proper implementation of the Community's development and cooperation policies ("crisis response and preparedness" component);
- In the context of stable conditions for the implementation of Community cooperation policies in third countries, to help build capacity both to address specific global and trans-regional threats having a destabilising effect and to ensure preparedness to address pre- and post-crisis situations ("global and regional trans-border challenges" component).



# Country Strategy Papers National Indicative Programme

- □ Jointly written and signed with National Authorities
- Assessing available entry points
- Medium and Long Term Assistance
- Political Advisability and Attainable Results
- Use of Available Tools, local and external expertise
- **□ DG DEV/RELEX Desks Assessment Missions**
- Need Assessment Missions of UNEAD
- □ Focal or Non Focal Sectors in the CSP: Good Governance, Capacity Building, Education...
- Linking Electoral Assistance to EC and National Development Policies

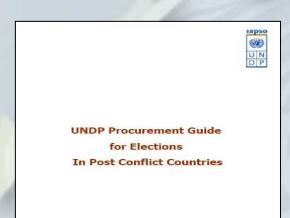


# **Identification and Formulation**

- □ Relevance, feasibility, effectiveness and sustainability
- Logframe Approach
- Indicators and Source of Verification
- □ Previous EUEOMs, domestic observation
- AIDCO E4 or external expertise mission
- Joint Identification and Formulation Missions
- Political Level
- Financial Level
- Operational Level



# Operational Level





Electoral Management Design

EMB Operational Plan
Timelines
Specification
Procurement
Specific Expertise



#### AF (Action Fiche)

At the end of the identification phase headquarters should be briefed on the progress achieved in identifying a planned project or programme. The information to be provided is consolidated in an *Action Fiche* (*AF*), where the relevance and likely feasibility is demonstrated.

At this stage quality support is provided to orient further preparatory work during the formulation phase.



## Financial Proposals (FP)

Provide a Basis for the inter-service consultation

Provide the relevant financing committee with sufficient information so as to allow it to give an *opinion* 

Provide the Commission with sufficient information so as to allow it to take a decision

The detailed description of the operation is laid down in the Technical and Administrative Provisions attached to the Financing Agreement.



#### **Quality Support**

