European Commission
United Nations Development Programme
International IDEA

Joint Training on
Effective Electoral Assistance

DAY 1
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Introduction and Overview

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Joint Training on
Effective Electoral Assistance
Day 1
The EU and the UN are natural partners. They are united by the core values laid out in the 1945 Charter of the UN, and the 1948 Universal Declaration of Human Rights.

Member States and the EC are the largest contributors to the UN system. A total of 1.3 billion euros was approved by the EC to be channelled to the UN in 2006.

2001 EC’s communication: "Building an effective partnership with the UN", and 2003 EC’s Communication: “EU-UN relations: The choice of multilateralism“.

To facilitate programmatic cooperation between the EC and the UN, an updated Financial and Administrative Framework Agreement (FAFA) was agreed in 2003.
The FAFA agreement provides a single shared legal, financial and administrative framework for all programmatic cooperation between the EC and the UN.

FAFA has made cooperation more efficient, ensuring faster start-up of activities and ensuring administrative consistency across operations.

In June 2004, the UNDP and the EC entered into a Strategic Partnership Agreement (SPA) through the signature of a MoU to set out and better define the relational focus between the two institutions.

The MoU focuses on governance (including elections, parliament and governance indicators), conflict prevention and post conflict reconstruction.
Total Values EC-UNDP contracts

EC Contribution to UNDP 1997-2006 (in MEUR)
EC-UNDP Disaggregated Division for Democratic Governance 2006

- Elections: 64.4%
- Institutional support: 23.9%
- Decentralised cooperation: 7.5%
- Justice: 0.8%
- Legislation: 0.4%
- Other: 3.1%
EC-UNDP Collaborations in Electoral Assistance 1997-2006

EC contribution to Electoral Assistance projects implemented by UNDP [in MEUR]

- ACP countries
- Total amount


- 1997: ACP 0.70 MEUR, Total 1.00 MEUR
- 1998: ACP 1.00 MEUR, Total 1.60 MEUR
- 1999: ACP 10.16 MEUR, Total 10.95 MEUR
- 2000: ACP 12.95 MEUR, Total 14.75 MEUR
- 2001: ACP 4.48 MEUR, Total 9.46 MEUR
- 2002: ACP 7.14 MEUR, Total 11.72 MEUR
- 2003: ACP 4.78 MEUR, Total 8.56 MEUR
- 2004: ACP 108.72 MEUR, Total 108.72 MEUR
- 2005: ACP 125.42 MEUR, Total 125.42 MEUR
- 2006: ACP 83.86 MEUR, Total 83.86 MEUR
1997-2006: 359 MEURO, 54 projects

2004-2006: 317 MEURO, 35 projects
Facilitation role of the FAFA signed on 2003

Joint and co-financed intervention of the community of donors is very appropriate to support electoral processes

Mandate under UN General Assembly resolution 46/137 of 1991 to coordinate electoral assistance at country level between national and international actors

Long Experience in Electoral Assistance, producing electoral assistance content,

Provision of electoral experts via the Electoral Assistance Division of the UN Department of Political Affairs

Pre financing deals once the Contribution Agreements are signed

Lower Costs: 7% of General Management Services
EC - UNDP Partnership

- Challenges of the 2004 project in support of the DRC electoral processes
- Negotiated & Formalized at HQ level the “de facto” partnership the EC and UNDP had at field level
- Agreement on a Joint Training Initiative
- Training Sessions in Brussels and Dar Es Salaam in 2006
- Joint EC UNDP Task Force
EC-UNDP Partnership
Areas of Collaboration

- Field Operations: Pool Funded Projects, Joint Identification Formulation Missions, Follow up of implementation, Joint selection of experts
- Production of Electoral Assistance Content
- Definition of a strategic operational approach
- Development of Tools and Methodology such as Guides, Joint Training and ACE
EC-UNDP Partnership with IDEA

- IDEA offers the knowledge developed also in collaboration with all the other major actors in the field through the unique global knowledge tools on Electoral Assistance such as ACE and BRIDGE.

- The EC and UNDP, via rationalizing their field experiences and illustrating the best practices with the EC Methodological Guide and UNDP Implementation Guide offer the practical expertise from the implementation side.

- EC-UNDP-IDEA Collaboration via the ACE project: The Electoral Knowledge Network
Training Needs

- Links between Elections and Democratic Governance
- Different Electoral Assistance Scenarios
- Short and Long Term Strategies
- Use of ICTs in Electoral Processes
- Problems and Solutions to implement with EC and UNDP Procedures
- Voter Registration Methodologies
- Cost of Registrations and Elections
- Procurement of Electoral Material and Services
Definitions

- What is electoral assistance?
- Why “effective electoral assistance”?
- From commitment to implementation
Electoral Assistance is the Legal, technical and logistic support provided to electoral laws, processes and institutions.

By “Effective Electoral Assistance” we mean all the initiatives and activities that are intended to improve the quality and impact of electoral assistance to partner country electoral institutions.

Part of the wider democratic development of the partner country, in accordance with the five key principles of “ownership, alignment, harmonisation, managing for results, and mutual accountability” that inform the 2005 Paris Declaration on Aid Effectiveness.

Management of all the complex set of interactions among Government, EMBs, International Organizations, Political Parties, CSOs, Services Providers and Media.
some countries were largely unprepared to go through major democratic transitions that featured crucial elections

electoral practitioners had virtually no access to comparative knowledge, best practices and lessons learned in the field of elections

electoral institutions not always possessed the necessary experience and knowledge to deliver and guarantee credible elections

there was no coherent electoral administration methodology, the field lacked of common standards
electoral management bodies confront a whole set of new challenges:

- creating transparent and sustainable electoral systems and processes
- reversing situations of professional stagnation

building the trust of the various stakeholders in the electoral process

addressing problems of lack of retention of their institutional memory

solving political, financial and logistical constraints undermining their work and the credibility of the elections
CREDIBILITY GAP

loss of credibility and mistrust in the electoral process

voters' apathy and low turnout

electoral disputes, electoral violence or civil strife

an elected government that lacks of the required legitimacy
Effective Electoral Assistance

- **Background:** Brussels, Manila, Ottawa,
- **Publications and Global Tools**
- **Shift from event-driven support to process and demand-driven using the Electoral Cycle**
- **Still need for capacity development at the development agency level and partner country level**
- **The building of a strong and transparent electoral administration capacity is a primary and invaluable form of investment**
Post elections and inter-election periods are as crucial as the build up to the elections themselves,

It required regular inter-institutional contact and support activities before, during and after election periods

For the sake of lessons learned and inter-institutional memory aiming at improved electoral processes in beneficiary countries

Electoral assistance has to take stock of all the steps of the electoral cycle???
The Electoral Cycle

- Constitution
- Legal Framework
- Planning & Implementation
- Training and Education
- Voter Registration
- Electoral Campaign
- Voting Operations and Election Day
- Verification of Results
- Post-Election

- Audits & Evaluations
- Development
- Reform
- Legislation
- Electoral System
- Electoral Bodies
- Budgeting
- Calendar/Timeline
- Funding & Financing
- Resource Planning & Implementation
- Security
- Election Officials
- Civic and Voter Education
- Voters
- Parties & Candidates
- Observers
- Media
- Financing
- Complaints
- Pre-Voting and Out-of-Country Voting
- Vote Counting
- Official Results
- Complaints and Appeals
- Voting
- Tabulation of Results
Effective Electoral Assistance Focus

- Quality and appropriateness of the methodological, operational and technological choices to be adopted for implementation on an electoral cycle.

- Perceived not any longer as isolated event but as a process.

- Past imperfections and limited results should be seen as an additional motive to support electoral processes investing more in the institutions that administer the elections in a good governance perspective.

- Importance of international/domestic observation missions, evaluations, post election seminar and peer review mechanisms.

- Importance of the synergies between election observation and electoral assistance.
Content of Training

- **Day 1:** EC and UNDP Framework, Lessons Learned, Electoral Cycle and New Approach
- **Day 2:** Activities that can be implemented in support of the Electoral Cycles with examples taken by case studies
- **Day 3:** Issues related to EC-UNDP procedures for Programming, Identification, Formulation, Implementation and Evaluation.
- **Day 4:** Presentation of two Voter Registration Methodologies, Best Practice for Procurement and Analysis of Cost of Elections
- **Day 5:** Global Tools and Resources, Recapitulation, Evaluation and Recommendations
Training Sources
Objectives

- To introduce participants to the new EC-UNDP policy and strategic framework
- To share experiences
- To understand the electoral cycle approach
- To develop capacity to formulate and implement electoral assistance projects
- To provide in-depth knowledge of context, links with governance, long-term strategies, use of technology, solutions to implementing EC-UNDP procedures, cost of elections and procurement of materials
About ACE

The ACE Electoral Knowledge Network encourages professionals to generate, share and apply knowledge, solve problems, help build common methods, and improve the professionalism of those engaged in activities related to credible, sustainable, peaceful and cost-effective elections.

ACE Electoral Knowledge Network

- Elections Today
  - Obtain news, analysis and upcoming elections dates from the world of elections
- The ACE Encyclopaedia
  - Explore comprehensive information on various aspects of election administration
- Comparative Data
  - Analyse country-by-country data and information on election systems, legislation and management
- Electoral Materials
  - Browse an electronic library of election materials, such as laws, ballot papers, training manuals, posters and more
- Electoral Advice
  - Access a forum that generates and shares specialised electoral knowledge, resources and expertise
- Regions & Countries
  - Research regional and country-by-country information, data and resources collected through a network of Regional Electoral Resource Centres
The first ever Global Knowledge Network established in the field of elections

GENERATE
SHARE
APPLY

SPECIALISED ELECTORAL KNOWLEDGE
Electoral Commission of Kenya attends expert training

The International Institute for Democracy and Electoral Assistance (I)
Global Training Platform

- Establishment of a Sub-group on Effective Electoral Assistance at Train4DEV
- Open to EC-UNDP desk officers, other donors, regional associations, EMBs, national authorities and CSOs, experts
- New *e-learning* module and website
- Pilot produced with audio-video material from these sessions in collaboration with the European Space Agency via the project *Administra*
ADMiNiSTRA - Advanced Data Management Network through Satellite Transmission


Project Objectives
The ADMiNiSTRA Project intends to offer Public Administrations an integrated satellite communication service to support e-Government services. Openet has identified five public institutions that will be involved in the pilot phase of the Project. They will become sponsoring partners offering evaluation for the business model and they are:

- League of Autonomies with five sub levels to be identified
The EC-UNDP partnership in electoral assistance is unique for its scope, for its achievements and ambitions. It builds on a history of sector experience, specific attention to the lessons learned in previous projects and a mutual understanding of each other's approaches, roles and capacities.

The partnership is proving to be of increasing value to electoral assistance operations to the beneficiary countries, as it allows to rationalize interventions in the area and leads to better and timelier formulation, implementation and monitoring of projects, and is in line with the concepts of enhanced donor coordination from the March 2005 Paris Declaration on Aid Effectiveness. The resulting projects are consequently more effective, sustainable and in harmony with overall EU and UN objectives.

The EC and UNDP have been collaborating in the field of electoral assistance since 1997 but it is since 2004, with the implementation of the project in support of the electoral processes in the Democratic Republic of Congo that the collaboration was strongly intensified, leading to the signature, in 2006, of the 'Operational Guidelines for the Implementation of Electoral Assistance Programmes and Projects'.

The EC-UNDP partnership in electoral assistance relies heavily on the strong cooperation between services at the level of headquarters for the quality support mechanisms available. These can include, through a Joint Task Force, formulation and monitoring missions, support for the selection of experts and procurement of election material, joint trainings, development of guidelines, gathering of lessons learned and participation to specialized electoral networks.