European Commission
United Nations Development Programme
International IDEA

In collaboration with

International Organization for Migration
Canadian International Development Agency
Organization of American States

Joint Training on Effective Electoral Assistance

Fabio Bargiacchi, Senior Electoral Assistance Advisor, UN UNDP Brussels Office
Introduction, Concepts and Agenda

Accra, Ghana, 29 June – 3 July 2009

Organized within **TRAIN 4 DEV.NET**

In collaboration with:

CIDA   IOM   OAS

Presentation

The UN and EU as partners

Some Statistical Figures

The EC and UNDP Partnership and IDEA

Global Training Platform and Accra Agenda

Definitions

Objectives of the Training

Training Material

Evaluations and Practical Details

Organized within TRAIN DEV.NET

In collaboration with: CIDA IOM OAS

- ❑ **The EU and the UN are natural partners. They are united by the core values laid out in the 1945 Charter of the UN, and the 1948 Universal Declaration of Human Rights.**

- ❑ **Member States and the EC are the largest contributors to the UN system. A total of 1.3 billion euros was approved by the EC to be channelled to the UN in 2006.**

- ❑ **2001 EC's communication: "Building an effective partnership with the UN", and 2003 EC's Communication: "EU-UN relations: The choice of multilateralism".**

- ❑ **To facilitate programmatic cooperation between the EC and the UN, an updated Financial and Administrative Framework Agreement (FAFA) was agreed in 2003.**

❑ **The FAFA agreement provides a single shared legal, financial and administrative framework for all programmatic cooperation between the EC and the UN.**

❑ **FAFA has made cooperation more efficient, ensuring faster start-up of activities and ensuring administrative consistency across operations.**

❑ **In June 2004, the UNDP and the EC entered into a Strategic Partnership Agreement (SPA) through the signature of a MoU to set out and better define the relational focus between the two institutions.**

❑ **The MoU focuses on governance (including elections, parliament and governance indicators), conflict prevention and post conflict reconstruction**
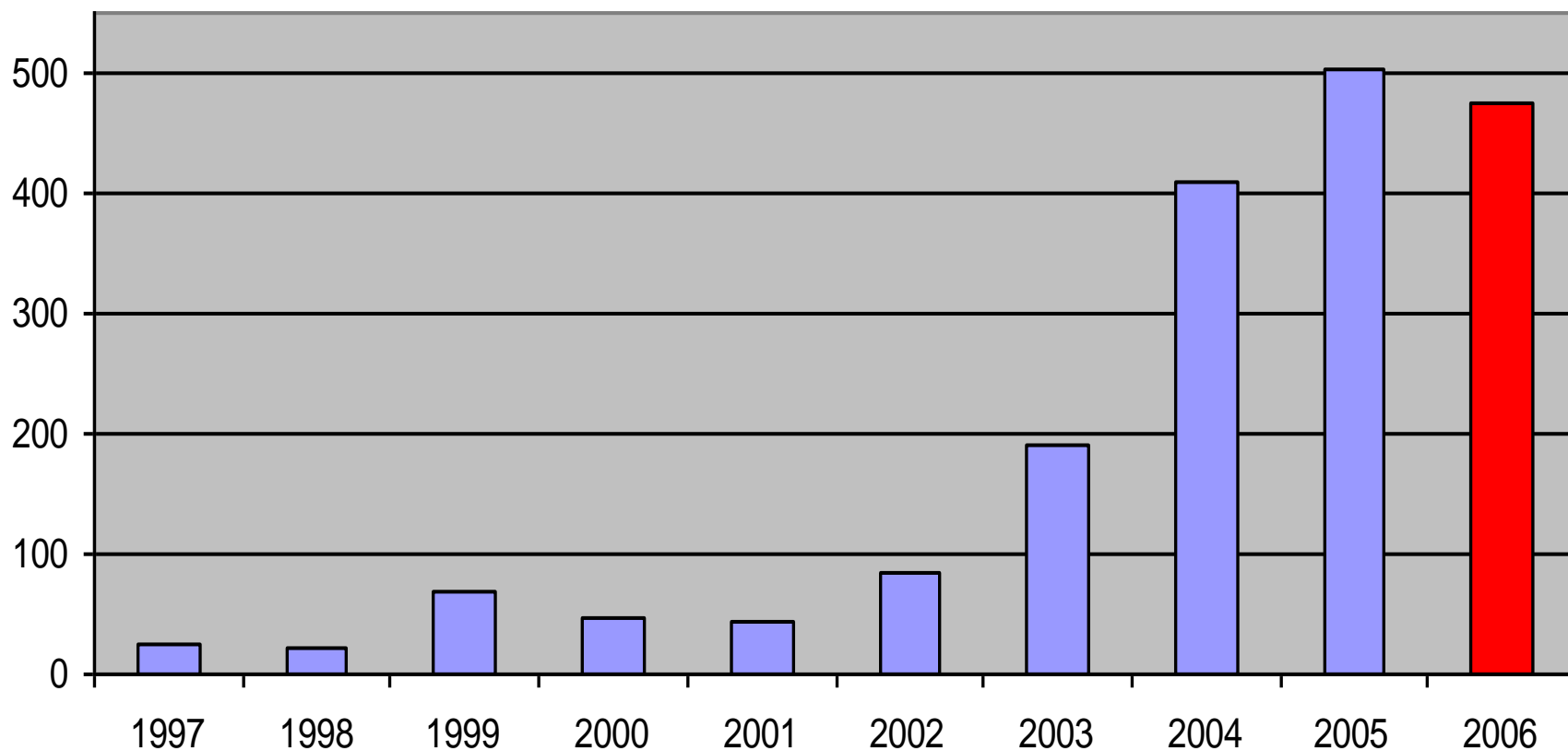
EC - UNDP Partnership

- ❑ **Challenges of the 2004 project in support of the DRC electoral processes**

- ❑ **Negotiated & Formalized at HQ level the "de facto" partnership the EC and UNDP had at field level**

- ❑ **EC - UNDP Operational Guidelines on the Implementation of Electoral Assistance Projects. Signed on 21 April 2006**

- ❑ **Definition of a strategic operational approach**

- ❑ **Field Operations: Pool Funded Projects, Joint Identification Formulation Missions, Follow up of implementation, Quality Support**

- ❑ **Development of Tools and Methodology such as Joint Training and ACE – The Electoral Knowledge Network**

- ❑ **Joint EC UNDP Task Force since January 2007**

- ❑ **Revision of the Strategic Partnership Agreement indicating Electoral assistance as the best practice**

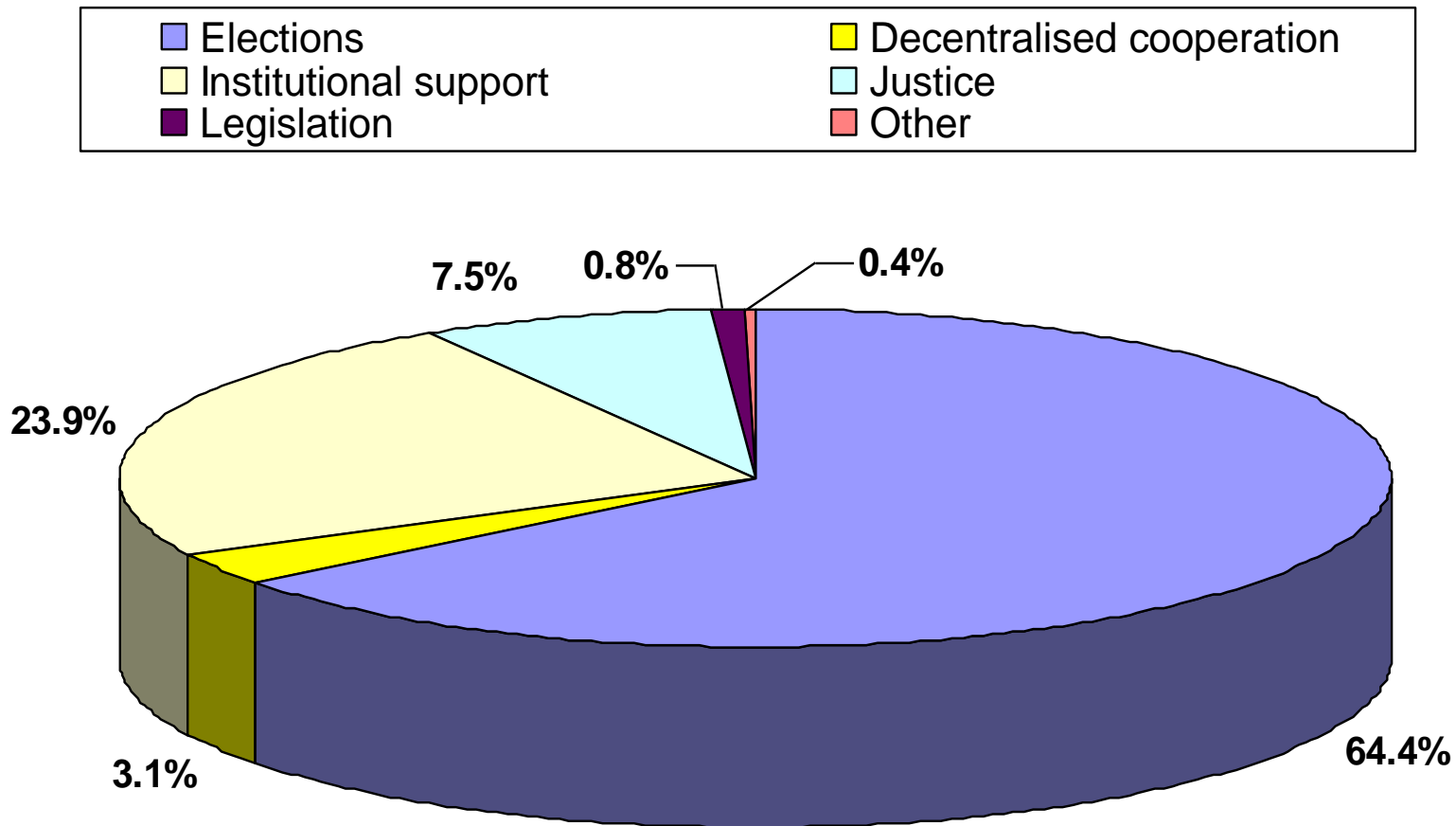- ❑ **Revision of the Operational Guidelines, Dec 2008**

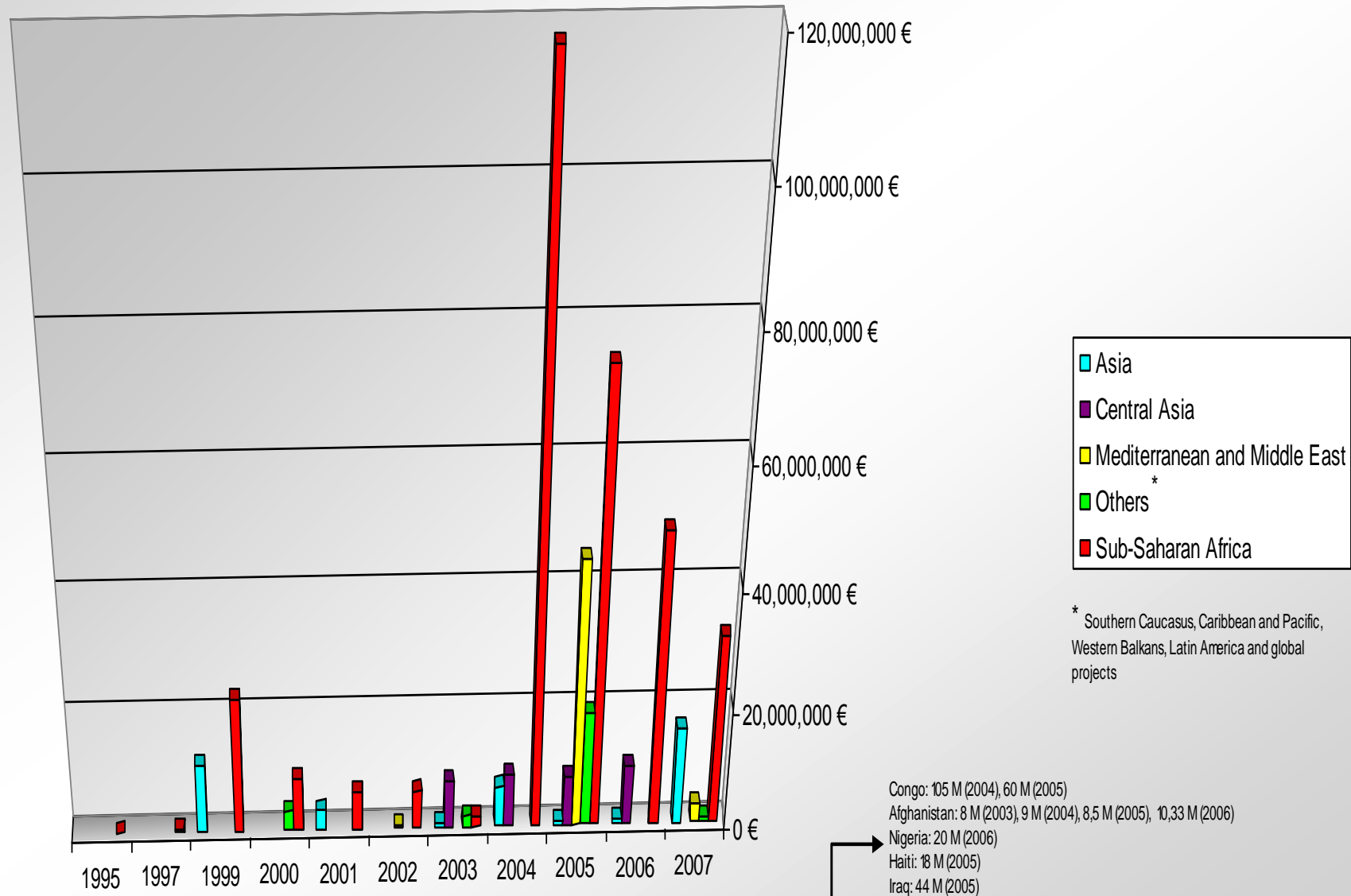# Total Values EC-UNDP contracts

EC Contribution to UNDP 1997-2006 (in MEUR)

EC funded electoral assistance projects implemented by UNDP per region
1995-2007

Legend:
- Asia
- Central Asia
- Mediterranean and Middle East
- Others *
- Sub-Saharan Africa

* Southern Caucasus, Caribbean and Pacific, Western Balkans, Latin America and global projects

Congo: 105 M (2004), 60 M (2005)
Afghanistan: 8 M (2003), 9 M (2004), 8,5 M (2005), 10,33 M (2006)
Nigeria: 20 M (2006)
Haiti: 18 M (2005)
Iraq: 44 M (2005)

Y-axis: 0 €, 20,000,000 €, 40,000,000 €, 60,000,000 €, 80,000,000 €, 100,000,000 €, 120,000,000 €

X-axis: 1995, 1997, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007

Number of EC funded electoral assistance projects per implementing partner
1992-2007
(Without advisory services and EIDHR projects implemented by CSO's)
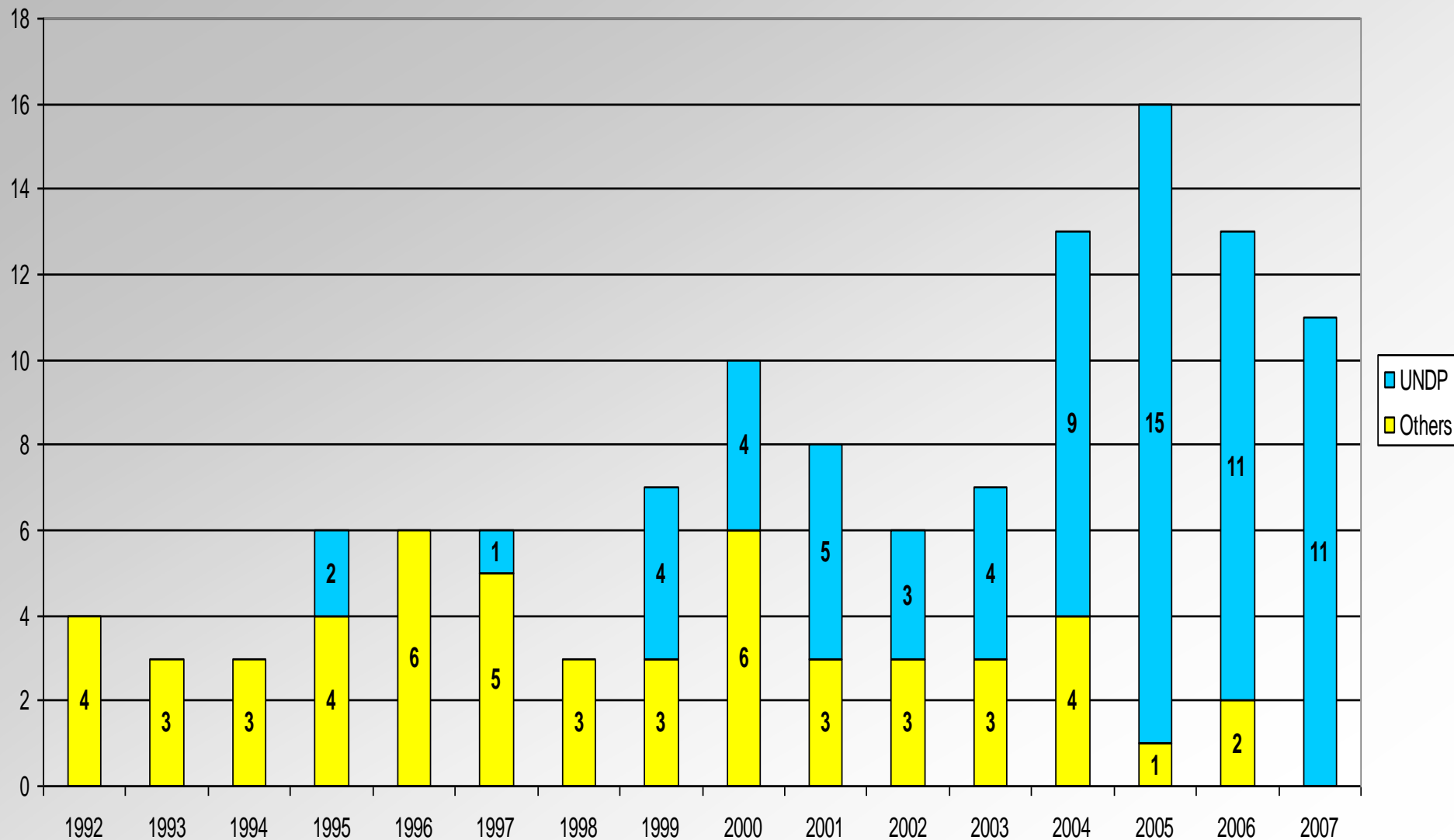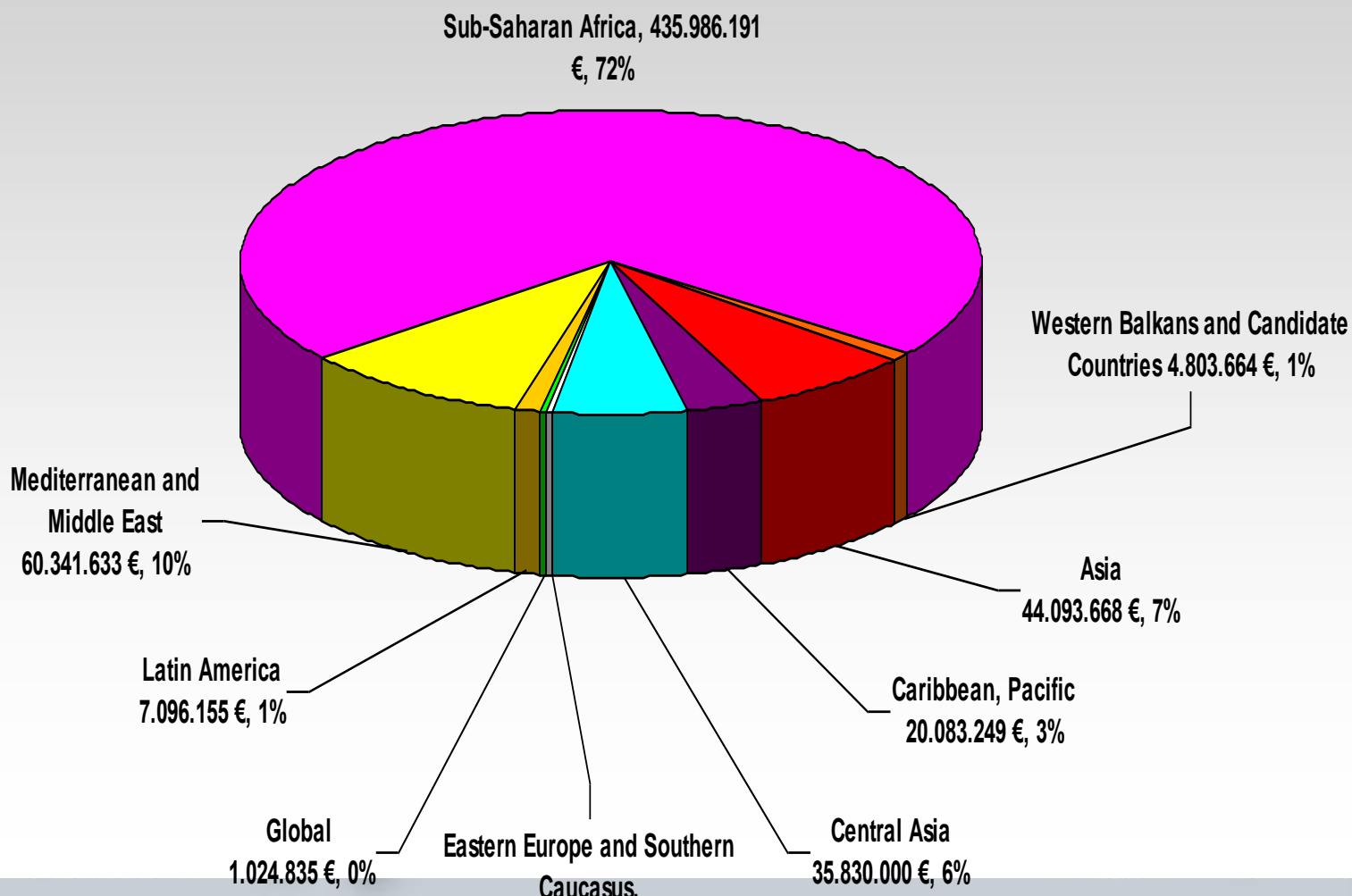
EC electoral assistance funds per region
1992-2007

Total: 612.073.304 €

Sub-Saharan Africa, 435.986.191 €, 72%

Western Balkans and Candidate Countries 4.803.664 €, 1%

Mediterranean and Middle East 60.341.633 €, 10%

Asia 44.093.668 €, 7%

Latin America 7.096.155 €, 1%

Caribbean, Pacific 20.083.249 €, 3%

Global 1.024.835 €, 0%

Eastern Europe and Southern Caucasus,

Central Asia 35.830.000 €, 6%

Budget allocation EC-UNDP electoral assistance projects by region
1995-2007

Total: 473.105.447 €
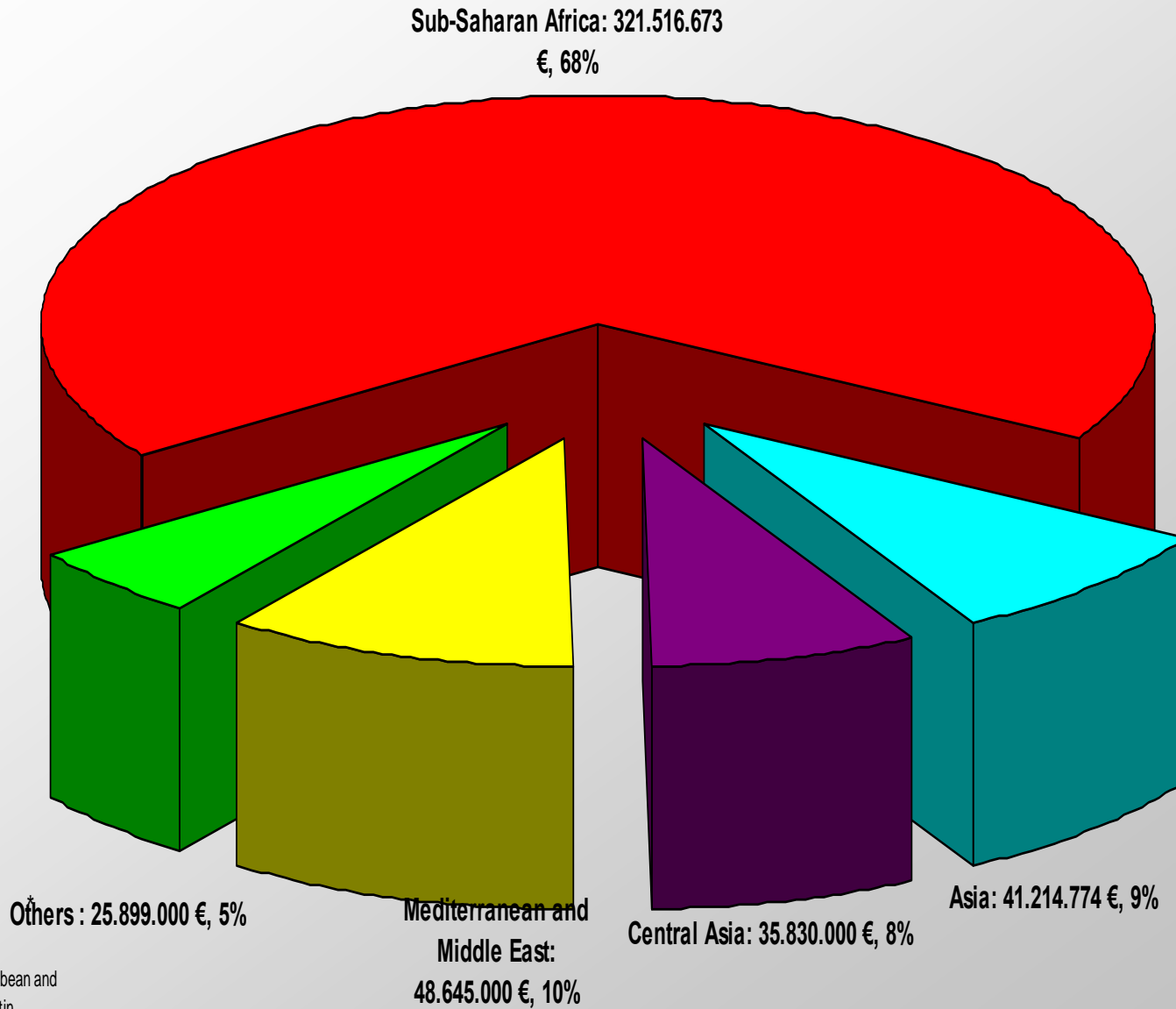
Sub-Saharan Africa: 321.516.673 €, 68%

Others : 25.899.000 €, 5%

Mediterranean and Middle East: 48.645.000 €, 10%

Central Asia: 35.830.000 €, 8%

Asia: 41.214.774 €, 9%

* Southern Caucasus, Caribbean and Pacific, Western Balkans, Latin

Country	Project Title	Ref. Number	Entry into force CA	EC Contribution
2007				
Bangladesh	Preparation of Electoral Roll with Photographs (PERP)	DCI-ASIE/2007/141-853	26/11/2007	15,000,000 €
Comoros	Appui au processus electoral aux Comores (APEC II)	COM/001/2007 - 9 ACP CO	22/08/2007	380,000 €
Fiji Islands	National Initiative for Civic Education (NICE)	FIJ/002/05	13/12/2007	800,000 €
Guinea (Conakry)	Appui aux élections législatives 2007 (recensement électoral)	9 ACP GUI 011	12/03/2007	6,720,000 €
Iraq	Support to the Electoral Process	DCI-MED/2007/141-451	18/12/2007	20,000,000 €
Kenya	2007 Election assistance programme/Domestic Observation/Kenyan Domestic Observer Forum	2007/197-659	19/12/2007	500,000 €
Timor-Leste	Support to the Timorese Electoral Cycle	9 ACP TL 2 1	18/05/2007	1,500,000 €
Togo	Appui au processus électoral togolais 2007-2008	9 ACP TO 10/01	11/06/2007	13,534,618 €
Zambia	Preparatory Assistance to the Electoral Cycle 2007-2011	9 ACP ZA 28	27/12/2007	450,000 €
Zimbabwe	Three Year rolling Multi-Donor Parliamentary Support Programme	9 ACP ZIM 12	11/12/2007	1,000,000 €
Total:				59,884,618 €

Country	Project Title	Ref. Number	Entry into force CA	EC Contribution
2008				
Côte d'Ivoire	Programme d'Appui au Processus Electoral en Cote d'Ivoire	09/ACP/IVC/10-3	30/07/2008	5,500,000 €
Côte d'Ivoire	Programme d'Appui au Processus Electoral en Cote d'Ivoire	10/ACP/IVC/2	17/12/2008	18,000,000 €
Democratic Republic of Congo	Appui à la CENI dans la pérennisation de l'acquis technologique et technique du processus électoral 2005-2006 en RDC et dans le renforcement des ses capacités.	9 ACP ZR 30/1	02/05/2008	2,800,000 €
Georgia	Developing Capacities of Democratic Institutions for Fair Electoral Processes and Active Civil Participation	IFS-RRM 2008/158885	29/05/2008	1,148,921 €
Global	Preparation and delivery of training programmes on Effective Electoral Assistance	2008/169641	21/11/2008	66,484 €
Guinea-Bissau	Projet d'appui aux elections legislatives en Republique de Guinee Bissau 2008 - PACE Phase 1	9 ACP GUB 27 9856/GUB	29/04/2008	2,100,000 €
Malawi	Support to the Electoral Reform and Elections in Malawi	9 ACP MAI 23-25	15/10/2008	1,500,000 €
Tanzania	Deepening democracy programme, Tanzania	9 ACP TA 31/01	09/01/2008	1,440,000 €
Yemen	EC-UNDP Joint Electoral Assistance Project in Yemen	2008/160-082	07/07/2008	3,200,000 €
Zambia	Support to the 2008 Presidential By-Election - Stability Instrument	9 ACP ZA 28/01/2007	19/11/2008	1,000,000 €
Total:				36,755,405 €

Country	Project Title
Afghanistan	Support to the second Afghan electoral cycle
Benin	Appui à la mise en place de la Liste Electorale Permanente Informatisée (LEPI)
Burundi	
Central African Republic	Appui au Processus Electoral
Chad	Programme d'Appui à la Réforme du Système Electoral
Ethiopia	Support to Democratic Institutions Programme
Haiti	Programme of support to the democratic electoral process in Haiti
Madagascar	Support to the Democratization Process
Moldova	Electoral Support to Moldova Project
Nigeria	Support to Nigeria Electoral Cycle 2006-2011 (phase 2)
PALOP	Support to PALOP/Timor Leste Electoral Cycle
Tanzania	Electoral Support to Tanzania
Togo	Projet d'appui aux cycles électoraux et à leur sécurisation
Zambia	Supporting the Electoral System in Zambia

❑ **IDEA offers the knowledge developed also in collaboration with all the other major actors in the field through the unique global knowledge tools on Electoral Assistance such as ACE and BRIDGE.**

❑ **The EC and UNDP, via rationalizing their field experiences and illustrating the best practices with the EC Methodological Guide and UNDP Implementation Guide offer the practical expertise from the implementation side.**

❑ **EC-UNDP-IDEA Collaboration via the ACE project: The Electoral Knowledge Network**

Global Training Platform
on Effective Electoral Assistance

- ❑ **The EC UNDP Partnership is not an exclusive club**
- ❑ **The Partnership follows what is happening on the ground**
- ❑ **Following the Joint EC-UNDP-IDEA Training Events**
- ❑ **Establishment of a Sub-group on Effective Electoral Assistance at Train4DEV**
- ❑ **EC, UNDP, IDEA, IOM, CIDA, OAS…**
- ❑ **E Learning**
- ❑ **ICTs and Elections Study centered on civil and voter registration**

Organized within **TRAIN DEV.NET**

In collaboration with: CIDA   IOM   OAS

**Trainings Global Statistics
Gender
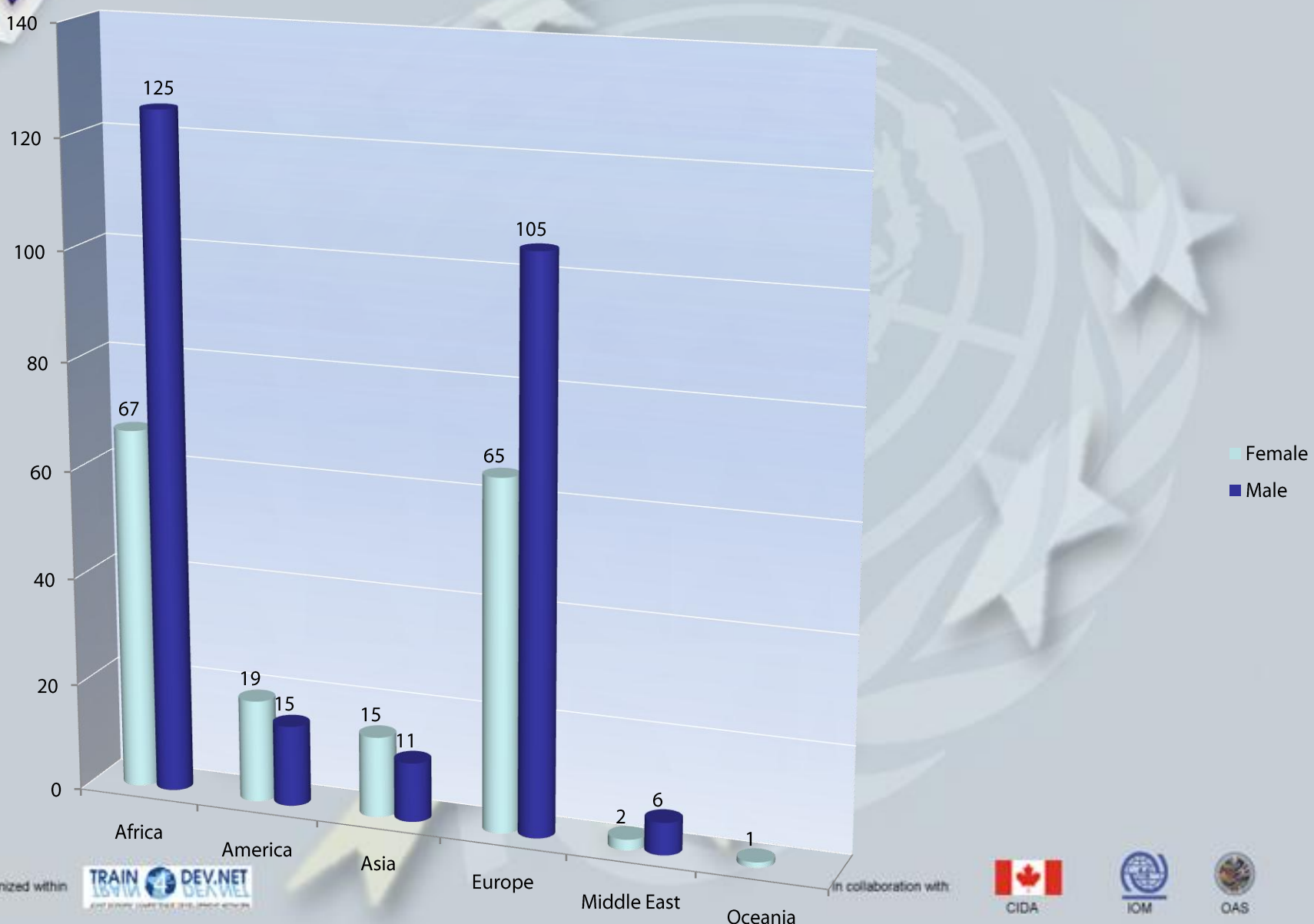in % (and value)**

Female
169
39%

Male
262
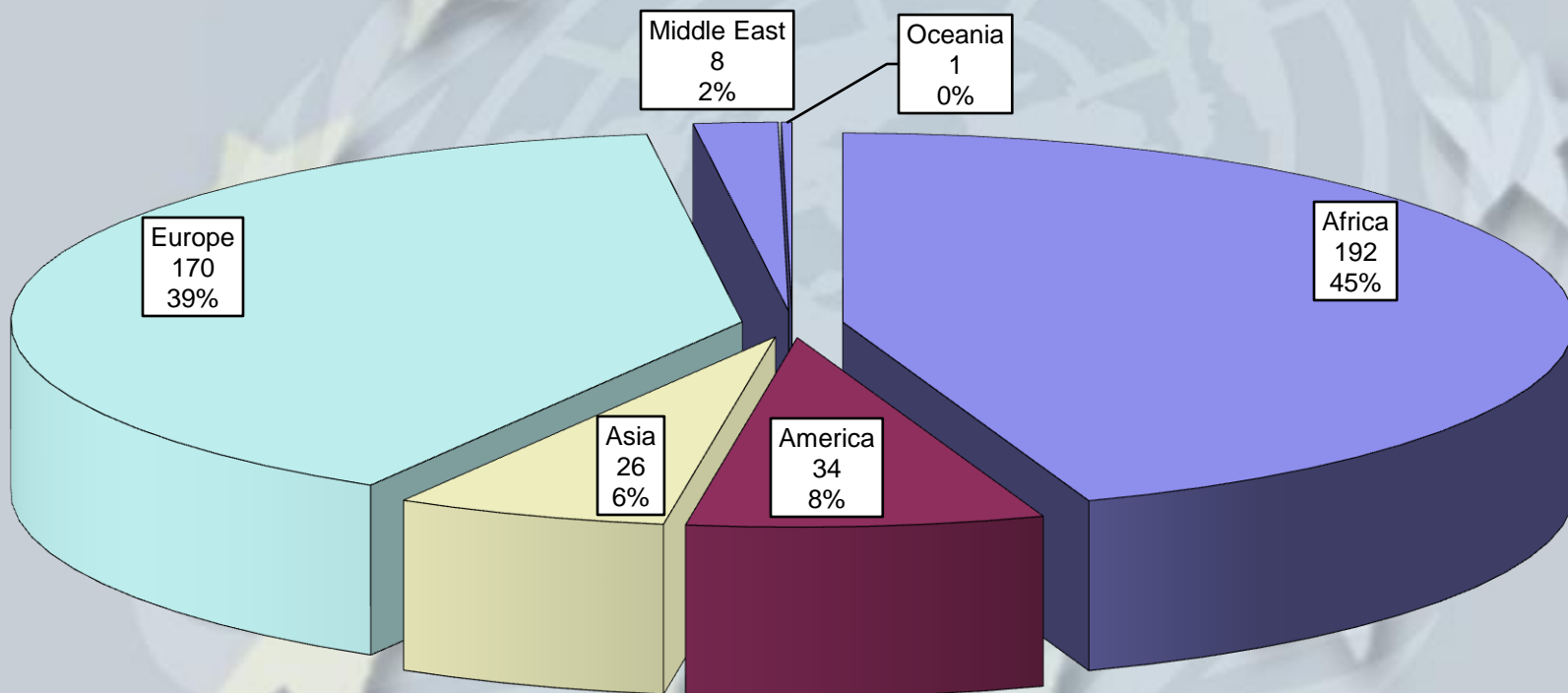61%

Trainings Global Statistics
Participants
(Continent of Duty Station and Gender)

Trainings Global Statistics
Participants by Region of Duty Station
In % (and values)

Middle East
8
2%

Oceania
1
0%

Africa
192
45%

Europe
170
39%

Asia
26
6%

America
34
8%

Organized within

In collaboration with

CIDA

IOM

OAS

Trainings Global Statistics
Participants by Country of Duty Station

Trainings Global Statistics
Participation by target institution

Development Partners
53
12%

Practitioners
35
8%

Electoral Assistance Providers
22
5%

EMB
34
8%

EU
97
23%

UN
161
37%

International and Regional Organizations
29
7%

Strategic Objectives from Accra

❑ **EC UNDP Partnership and Training-Workshop initiatives target the mainstreaming of the principles of the Paris and Accra declarations**

❑ **Ownership: country level policy dialogue, strengthen capacities, use country systems**

❑ **Partnership: reduce aid fragmentation, increase aid value, work with all actors, engage civil society, adapt to fragile contexts**

❑ **Results: deliver results, accountability and transparency, change conditionality, increase predictability**

❑ **Links between Elections and Democratic Governance**

❑ **Different Electoral Assistance Scenarios**

❑ **Short and Long Term Strategies**

❑ **Use of ICTs in Electoral Processes**

❑ **Problems and Solutions to implement with EC and UNDP Procedures**

❑ **Voter Registration Methodologies**

❑ **Cost of Registrations and Elections**

❑ **Procurement of Electoral Material and Services**

The Electoral Cycle

Post-Election

Legal Framework

Planning & Implementation

Training and Education

Voter Registration

Electoral Campaign

Voting Operations and Election Day

Verification of Results

audits & evaluations

reform

development

tabulation of results

complaints and appeals

official results

voting

vote counting

pre-voting and out-of-country voting

constitution

legislation

electoral system

electoral bodies

budgeting

calendar/timeline

funding & financing

resource planning & implementation

security

election officials

civic and voter education

parties & candidates

observers

voters

party liaison

financing

media complaints

Organized within

TRAIN DEV.NET

CIDA

IOM

OAS

THE ELECTORAL CYCLE

POST-ELECTORAL PERIOD

PRE-ELECTORAL PERIOD

ELECTORAL PERIOD

LEGAL FRAMEWORK
- CONSTITUTION
- LEGISLATION
- ELECTORAL SYSTEM & BOUNDARIES
- ELECTORAL BODIES
- CODES OF CONDUCT

PLANNING & IMPLEMENTATION
- BUDGETING, FUNDING & FINANCING
- ELECTION CALENDAR
- RECRUITMENT & PROCUREMENT
- LOGISTICS & SECURITY

TRAINING & EDUCATION
- OPERATIONAL TRAINING FOR ELECTION OFFICIALS
- CIVIC EDUCATION
- VOTER INFORMATION

REGISTRATION & NOMINATIONS
- VOTER REGISTRATION
- OBSERVER ACCREDITATION
- PARTIES & CANDIDATES

ELECTORAL CAMPAIGN
- PARTY FINANCING
- MEDIA ACCESS
- CODES OF CONDUCT
- BREACHES & PENALTIES
- CAMPAIGN COORDINATION

VOTING OPERATIONS & ELECTION DAY
- SPECIAL & EXTERNAL VOTING
- VOTING
- VOTE COUNTING

VERIFICATION OF RESULTS
- TABULATION OF RESULTS
- COMPLAINTS & APPEALS
- OFFICIAL RESULTS

POST ELECTION
- VOTERS' LISTS UPDATE
- AUDITS & EVALUATIONS
- INSTITUTIONAL STRENGTHENING & PROFESSIONAL DEVELOPMENT
- LEGAL REFORM
- ARCHIVING & RESEARCH

The electoral cycle
three main segments

post-election period (strategy)

Sustainable Electoral Processes

- POST ELECTION
- LEGAL FRAMEWORK
- VERIFICATION OF RESULTS
- PLANNING & IMPLEMENTATION
- VOTING OPERATIONS & ELECTION DAY
- TRAINING & EDUCATION
- ELECTORAL CAMPAIGN
- REGISTRATION & NOMINATIONS

THE ELECTORAL CYCLE
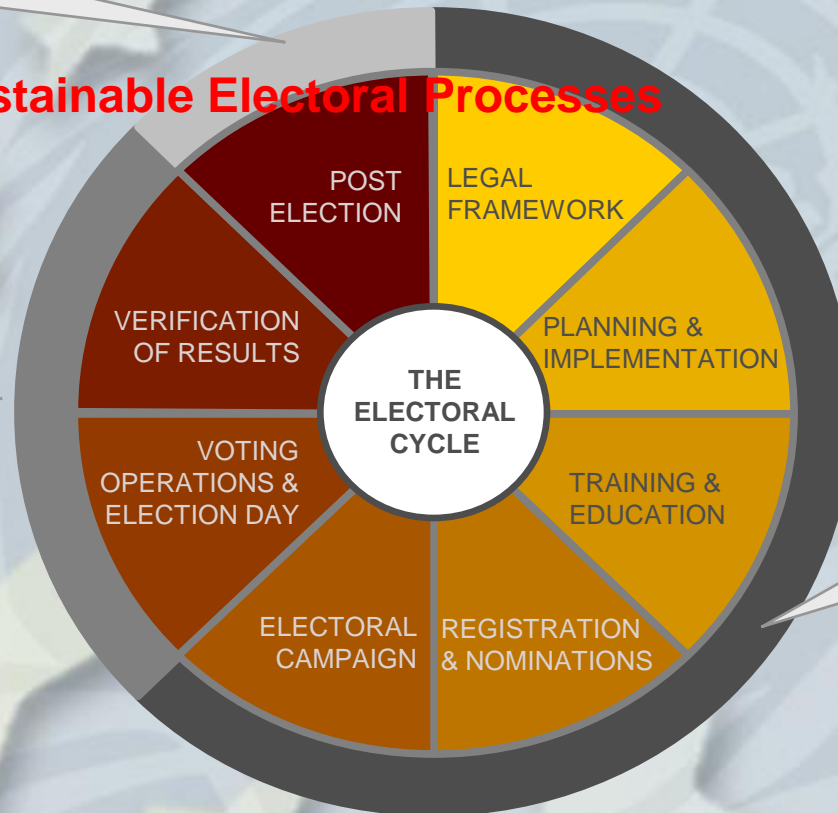
Electoral Period (operations)

Pre-electoral period (preparations)

Organized within   TRAIN 4 DEV.NET

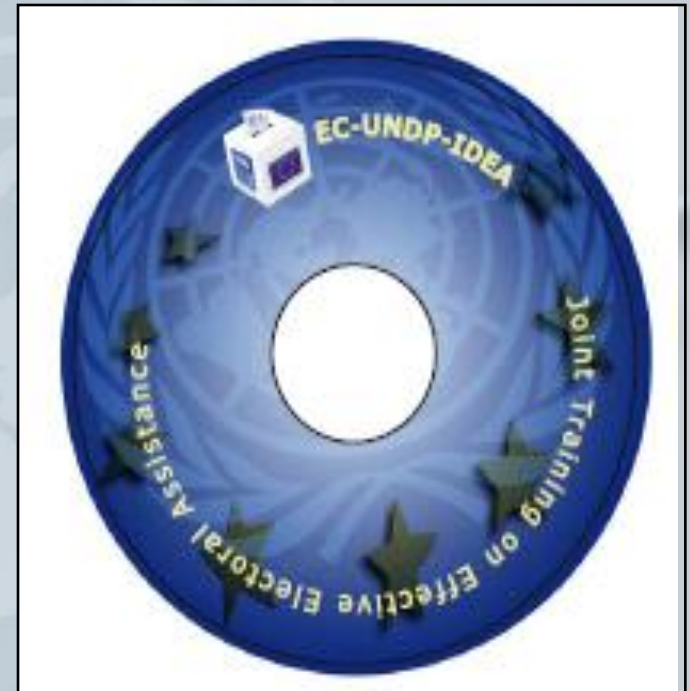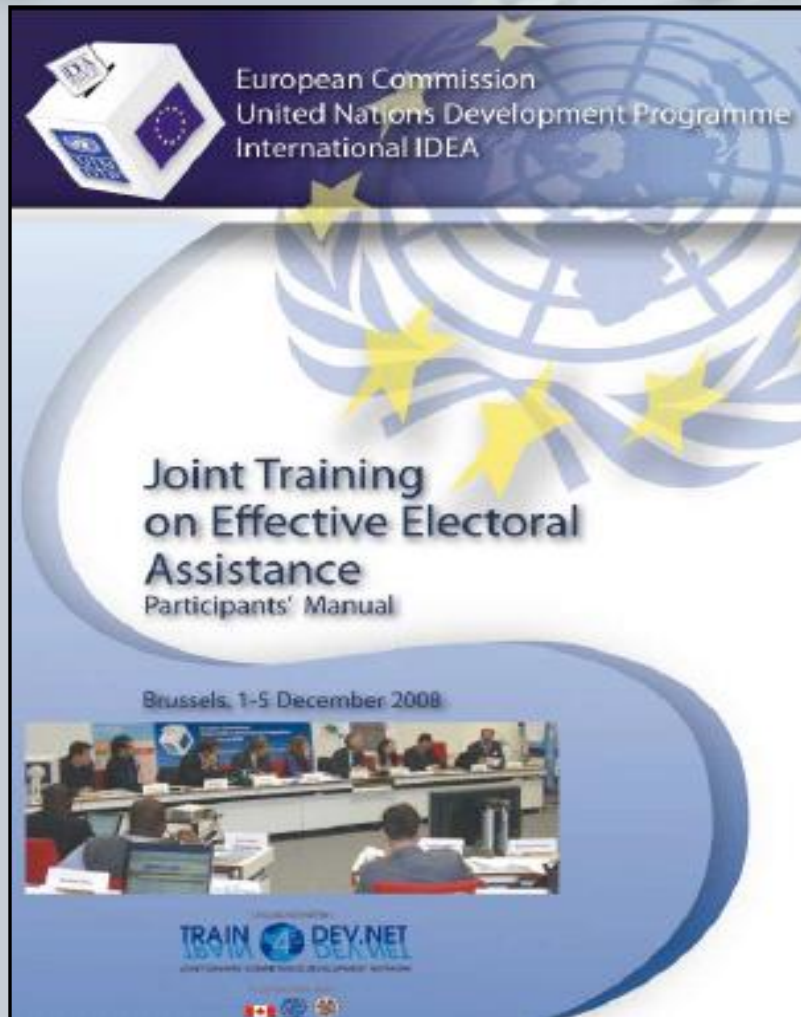In collaboration with   CIDA   IOM   OAS

Objectives

❑ **To introduce participants to the new EC-UNDP policy and strategic framework**

❑ **To share experiences**

❑ **To understand the electoral cycle approach**

❑ **To develop capacity to formulate and implement electoral assistance projects**

❑ **To provide in-depth knowledge of context, links with governance, long-term strategies, use of technology, solutions to implementing EC-UNDP procedures, cost of elections and procurement of materials**

Training Sources

Methodological Guide on Electoral Assistance

UNDP Electoral Assistance Implementation Guide

Electoral Management Design: The International IDEA Handbook

Organized within TRAIN4DEV.NET
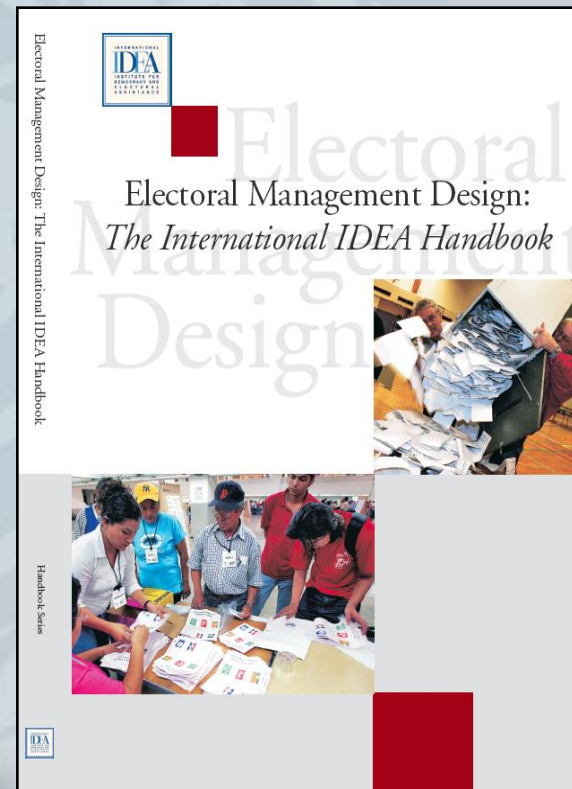
In collaboration with: CIDA · IOM · OAS

1

1 EC AND UNDP STRATEGIC FRAMEWORK AND LESSONS LEARNED

The learning objectives of module one are three-folded. The module presents the the broad framework of EC and UNDP electoral support in terms of main objectives, strategies and activities in the field. Second, it overviews the lessons learned by the EC and the UNDP over the last decade. Third and finally, it introduces a specific type of electoral support, namely election observation and in particular the goals, content and activities of EU observation missions.

2

2 ACTIVITIES IN SUPPORT OF ELECTORAL CYCLES

In module two the main learning objectives are to become familiar with stakeholders of electoral processes, to appreciate the cross cutting issues of participation and access and to identify activities likely to be implemented in support of the different phases of the electoral cycle, related among other things to the legal framework, the structure and design of electoral management and administration, strategic and operational planning, civic and voter education, voter registration, domestic observation, media monitoring, assistance to political parties and parliaments, voting operations, external voting, management of results and other activities carried out throughout the post electoral period.

3

3 TECHNOLOGY APPLICATIONS IN THE ELECTORAL PROCESS: VOTER REGISTRATION AND ELECTRONIC VOTING MACHINES

Module three introduces the topic of technological innovation in electoral processes. Whilst new technologies can be utilised in most segments of the electoral cycle, particular focus is placed on voter registration and electronic voting systems. The module accounts for the general advantages and disadvantages of the use of ICTs and emphasises the importance of appropriate use of new technologies under various contextual circumstances.

4 EC-UNDP Procedures for Programming, Identification, Formulation, Implementation and Evaluation

In order to understand the internal procedures of the EC and the UNDP, module four provides an overview over who does what in the field of electoral assistance in the two organisations. It also accounts for the main implementation and financing modalities. Based on the recent developments, in particular the deepening of the EC-UNDP collaboration through the EC-UNDP Strategic Partnership Agreement and the Operational Guidelines, the latter part present the basis for and key components of this cooperation.

5 Procurement of Electoral Material, Global Tools and Networks

Module five has three main components: electoral costs and fund management, procurement and global tools for effective electoral assistance. The first two sections highlight the cost of elections and provide a guide over the co-financial modalities utilised by the UNDP as well as the main advantages of basket funding arrangements. The third part overviews the main objectives and considerations involved in the procurement planning phase. Finally the two last sections offer a general overview over the ACE Electoral Knowledge Network and the BRIDGE project which aims to build capacities in the field of elections through knowledge sharing, networking and training.

Organized within

TRAIN DEV.NET

In collaboration with

CIDA   IOM   OAS

Throughout the training manual, yellow and green text boxes are used to identify i) key concepts & ideas and ii) further information. Towards the end of each module, the participant will find iii) summary, iv) issues for discussion, v) quiz and vi) print & online resources. All of these are indicated with the following icons:
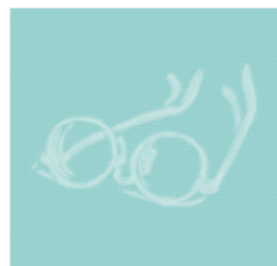
KEY CONCEPTS & IDEAS
Important concepts, ideas and advice relating to the different stages of the electoral cycle.

QUIZ
A set of quiz questions allow the participants to test their knowledge level.

FURTHER INFORMATION
Additional or detailed information on specific issues.

PRINT & ONLINE RESOURCES
List of the resources used and referred to in the specific modules.

SUMMARY
Summary of the most important issues.

The EC-UNDP partnership in electoral assistance is unique for its scope, for its achievements and ambitions. It builds on a history of sector experience, specific attention to the lessons learned in previous projects and a mutual understanding of each other's approaches, roles and capacities.

The partnership is proving to be of increasing value to electoral assistance operations to the beneficiary countries, as it allows to rationalize interventions in the area and leads to better and timelier formulation, implementation and monitoring of projects, and is in line with the concepts of enhanced donor coordination from the **March 2005 Paris Declaration on Aid Effectiveness**. The resulting projects are consequently more effective, sustainable and in harmony with overall EU and UN objectives.

The EC and UNDP have been collaborating in the field of electoral assistance since 1997 but it is since 2004, with the implementation of the project in support of the electoral processes in the Democratic Republic of Congo that the collaboration was strongly intensified, leading to the signature, in 2006, of the "**Operational Guidelines for the Implementation of Electoral Assistance Programmes and Projects**".

The EC-UNDP partnership in electoral assistance relies heavily on the strong cooperation between services at the level of headquarters for the quality support mechanisms available. These can include, through a **Joint Task Force**, formulation and monitoring missions, support for the selection of experts and procurement of election material, joint trainings, development of guidelines, gathering of lessons learned and participation to specialized electoral networks.

Download here the main two reference-tools for the formulation and implementation of Electoral Assistance Projects of the EC and UNDP.

European Union @ Nations United

United Nations Development Programme UN DP

European Commission

Electoral Assistance Division
Department of Political Affairs

EuropeAid

EU External Relations

Training Agenda

❑ **Day 1: EC and UNDP Framework, Lessons Learned, Electoral Cycle and New Approach**

❑ **Day 2: Activities that can be implemented in support of the Electoral Cycles with examples taken by case studies**

❑ **Day 3: Linking Electoral Cycles to Deepening Democracy Programmes, Support to Parliaments and Political Parties**

❑ **Day 4: Presentation of Voter Registration and Electronic Voting Methodologies, case studies, Ghana, Angola, Benin, DRC, Brazil, India, Venezuela**

❑ **Day 5: Issues related to EC-UNDP procedures for Programming, Identification, Formulation, Implementation and Evaluation Procurement of Electoral Material, Global Tools**

Methodology

- ❑ **Output: publication taking into account the perceptions and feedback from the different stakeholders**

- ❑ **Presentations**
- ❑ **Questions and discussions**
- ❑ **Seminars:**
- ❑ **Interactive clinics:**
- ❑ **Video-material and eLearning:**
- ❑ **Evaluation:**

European Commission
United Nation Development Programme
International IDEA

Joint Training on Effective Electoral Assistance
Brussels 1-5 Dec 2008

Evaluation Day 1:
Opening, EU/EC and UN/UNDP Framework, Lessons Learned and the New Approach

09.30 – 10.00
FABIO BARGIACCHI – UN/UNDP BRUSSELS OFFICE
Introduction: Concepts, Agenda & Objectives

	VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR
USEFULNESS						CONTENT						PRESENTATION					
COMMENTS																	

10.00 – 10.30
LINDA MAGUIRE – UNDP BUREAU DEVELOPMENT POLICY
Historical Background and Focus on Effective Electoral Assistance

	VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR
USEFULNESS						CONTENT						PRESENTATION					
COMMENTS																	

10.30 – 11.00
DOMENICO TUCCINARDI - INTERNATIONAL IDEA
International Commitments for Democratic Elections

	VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR
USEFULNESS						CONTENT						PRESENTATION					

Overall Training Day 1
Related Material to the Sessions

	VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR
USEFULNESS						CONTENT						PRESENTATION					
COMMENTS																	

Overall Training Day 1
Content of Presentations

	VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR
USEFULNESS						CONTENT						PRESENTATION					
COMMENTS																	

Overall Training Day 1
Interaction and Discussions

	VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR		VERY GOOD	GOOD	FAIR	POOR	VERY POOR
USEFULNESS						CONTENT						PRESENTATION					
COMMENTS																	