European Commission
United Nations Development Programme
International IDEA

In collaboration with
International Organization for Migration
Canadian International Development Agency
Organization of American States

Joint Training on Effective Electoral Assistance

Fabio Bargiacchi, Senior Electoral Assistance Advisor, UN UNDP Brussels Office
Introduction, Concepts and Agenda

Accra, Ghana, 29 June – 3 July 2009
The UN and EU as partners

Some Statistical Figures

The EC and UNDP Partnership and IDEA

Global Training Platform and Accra Agenda

Definitions

Objectives of the Training

Training Material

Evaluations and Practical Details
The UN and the EU

- The EU and the UN are natural partners. They are united by the core values laid out in the 1945 Charter of the UN, and the 1948 Universal Declaration of Human Rights.
- Member States and the EC are the largest contributors to the UN system. A total of 1.3 billion euros was approved by the EC to be channelled to the UN in 2006.
- 2001 EC’s communication: "Building an effective partnership with the UN", and 2003 EC’s Communication: “EU-UN relations: The choice of multilateralism”.
- To facilitate programmatic cooperation between the EC and the UN, an updated Financial and Administrative Framework Agreement (FAFA) was agreed in 2003.
The FAFA agreement provides a single shared legal, financial and administrative framework for all programmatic cooperation between the EC and the UN.

FAFA has made cooperation more efficient, ensuring faster start-up of activities and ensuring administrative consistency across operations.

In June 2004, the UNDP and the EC entered into a Strategic Partnership Agreement (SPA) through the signature of a MoU to set out and better define the relational focus between the two institutions.

The MoU focuses on governance (including elections, parliament and governance indicators), conflict prevention and post conflict reconstruction.
EC - UNDP Partnership

- Challenges of the 2004 project in support of the DRC electoral processes
- Negotiated & Formalized at HQ level the “de facto” partnership the EC and UNDP had at field level
- Definition of a strategic operational approach
- Field Operations: Pool Funded Projects, Joint Identification Formulation Missions, Follow up of implementation, Quality Support
- Development of Tools and Methodology such as Joint Training and ACE – The Electoral Knowledge Network
- Joint EC UNDP Task Force since January 2007
- Revision of the Strategic Partnership Agreement indicating Electoral assistance as the best practice
- Revision of the Operational Guidelines, Dec 2008
Total Values EC-UNDP contracts

EC Contribution to UNDP 1997-2006 (in MEUR)
EC-UNDP Disaggregated Division for Democratic Governance 2006

- Elections: 64.4%
- Institutional support: 23.9%
- Decentralised cooperation: 7.5%
- Legislation: 3.1%
- Justice: 0.8%
- Other: 0.4%
EC funded electoral assistance projects implemented by UNDP per region 1995-2007

- Asia
- Central Asia
- Mediterranean and Middle East
- Others
- Sub-Saharan Africa

* Southern Caucasus, Caribbean and Pacific, Western Balkans, Latin America and global projects

Haiti: 18 M (2005)
Iraq: 44 M (2005)
Number of EC funded electoral assistance projects per implementing partner 1992-2007
(Without advisory services and EIDHR projects implemented by CSO's)
EC electoral assistance funds per region
1992-2007

Sub-Saharan Africa, 435,986,191 €, 72%
Western Balkans and Candidate Countries 4,803,664 €, 1%
Asia 44,093,668 €, 7%
Caribbean, Pacific 20,083,249 €, 3%
Central Asia 35,830,000 €, 6%
Eastern Europe and Southern Caucasus,
Mediterranean and Middle East 60,341,633 €, 10%
Latin America 7,096,155 €, 1%
Global 1,024,835 €, 0%

Total: 612,073,304 €
Budget allocation EC-UNDP electoral assistance projects by region
1995-2007
Total: 473,105,447 €

Sub-Saharan Africa: 321,516,673 €, 68%
Others: 25,899,000 €, 5%
Asia: 41,214,774 €, 9%
Central Asia: 35,830,000 €, 8%
Southern Caucasus, Caribbean and Pacific, Western Balkans, Latin
Mediterranean and Middle East: 48,645,000 €, 10%
Sub-Saharan Africa: 321,516,673 €, 68%
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<tr>
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<th>Project Title</th>
<th>Ref. Number</th>
<th>Entry into force CA</th>
<th>EC Contribution</th>
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<tr>
<td>Bangladesh</td>
<td>Preparation of Electoral Roll with Photographs (PERP)</td>
<td>DCI-ASIE/2007/141-853</td>
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<td>Comoros</td>
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<td>COM/001/2007 - 9 ACP CO</td>
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<td>Fiji Islands</td>
<td>National Initiative for Civic Education (NICE)</td>
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<td>13/12/2007</td>
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<td>Guinea (Conakry)</td>
<td>Appui aux élections législatives 2007 (recensement électoral)</td>
<td>9 ACP GUI 011</td>
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**Total:** 59,884,618 €
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<td>Democratic Republic of Congo</td>
<td>Appui à la CENI dans la pérennisation de l’acquis technologique et technique du processus électoral 2005-2006 en RDC et dans le renforcement des ses capacités.</td>
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<td>Developing Capacities of Democratic Institutions for Fair Electoral</td>
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<td>Afghanistan</td>
<td>Support to the second Afghan electoral cycle</td>
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<td>Appui au Processus Electoral</td>
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<td>Chad</td>
<td>Programme d'Appui à la Réforme du Système Electoral</td>
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<td>Support to Democratic Institutions Programme</td>
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<td>Projet d'appui aux cycles électoraux aux et à leur sécurisation</td>
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<td>Zambia</td>
<td>Supporting the Electoral System in Zambia</td>
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EC-UNDP Partnership with IDEA

- IDEA offers the knowledge developed also in collaboration with all the other major actors in the field through the unique global knowledge tools on Electoral Assistance such as ACE and BRIDGE.

- The EC and UNDP, via rationalizing their field experiences and illustrating the best practices with the EC Methodological Guide and UNDP Implementation Guide offer the practical expertise from the implementation side.

- EC-UNDP-IDEA Collaboration via the ACE project: The Electoral Knowledge Network
Global Training Platform

- The EC UNDP Partnership is not an exclusive club
- The Partnership follows what is happening on the ground
- Following the Joint EC-UNDP-IDEA Training Events
- Establishment of a Sub-group on Effective Electoral Assistance at Train4DEV
- EC, UNDP, IDEA, IOM, CIDA, OAS...
- E Learning
- ICTs and Elections Study centered on civil and voter registration
Trainings Global Statistics
Gender
in % (and value)

Female
169
39%

Male
262
61%
Trainings Global Statistics
Participants
(Continent of Duty Station and Gender)
Trainings Global Statistics
Participants by Region of Duty Station
In % (and values)

- **Europe**: 170 (39%)
- **Africa**: 192 (45%)
- **Asia**: 26 (6%)
- **America**: 34 (8%)
- **Middle East**: 8 (2%)
- **Oceania**: 1 (0%)
Trainings Global Statistics
Participation by target institution

- **UN**
  - 161
  - 37%

- **EU**
  - 97
  - 23%

- **EMB**
  - 34
  - 8%

- **International and Regional Organizations**
  - 29
  - 7%

- **Development Partners**
  - 53
  - 12%

- **Practitioners**
  - 35
  - 8%

- **Electoral Assistance Providers**
  - 22
  - 5%

Organized within TRAIN.DEV.NET

In collaboration with: CIDA, IOM, OAS
Strategic Objectives from Accra

- EC UNDP Partnership and Training-Workshop initiatives target the mainstreaming of the principles of the Paris and Accra declarations

- Ownership: country level policy dialogue, strengthen capacities, use country systems

- Partnership: reduce aid fragmentation, increase aid value, work with all actors, engage civil society, adapt to fragile contexts

- Results: deliver results, accountability and transparency, change conditionality, increase predictability
Training Needs

- Links between Elections and Democratic Governance
- Different Electoral Assistance Scenarios
- Short and Long Term Strategies
- Use of ICTs in Electoral Processes
- Problems and Solutions to implement with EC and UNDP Procedures
- Voter Registration Methodologies
- Cost of Registrations and Elections
- Procurement of Electoral Material and Services
The electoral cycle

three main segments

post-election period (strategy)

Sustainable Electoral Processes

Pre-electoral period (preparations)

Electoral Period (operations)
Objectives

- To introduce participants to the new EC-UNDP policy and strategic framework
- To share experiences
- To understand the electoral cycle approach
- To develop capacity to formulate and implement electoral assistance projects
- To provide in-depth knowledge of context, links with governance, long-term strategies, use of technology, solutions to implementing EC-UNDP procedures, cost of elections and procurement of materials
Training Sources

- Electoral Management Design: The International IDEA Handbook
- Methodological Guide on Electoral Assistance
- UNDP Electoral Assistance Implementation Guide
1 EC and UNDP Strategic Framework and Lessons Learned
The learning objectives of module one are three-folded. The module presents the broad framework of EC and UNDP electoral support in terms of main objectives, strategies and activities in the field. Second, it overviews the lessons learned by the EC and the UNDP over the last decade. Third and finally, it introduces a specific type of electoral support, namely election observation and in particular the goals, content and activities of EU observation missions.

2 Activities in Support of Electoral Cycles
In module two the main learning objectives are to become familiar with stakeholders of electoral processes, to appreciate the cross-cutting issues of participation and access and to identify activities likely to be implemented in support of the different phases of the electoral cycle, related among other things to the legal framework, the structure and design of electoral management and administration, strategic and operational planning, civic and voter education, voter registration, domestic observation, media monitoring, assistance to political parties and parliaments, voting operations, external voting, management of results and other activities carried out throughout the post-electoral period.

3 Technology Applications in the Electoral Process: Voter Registration and Electronic Voting Machines
Module three introduces the topic of technological innovation in electoral processes. Whilst new technologies can be utilised in most segments of the electoral cycle, particular focus is placed on voter registration and electronic voting systems. The module accounts for the general advantages and disadvantages of the use of ICTs and emphasises the importance of appropriate use of new technologies under various contextual circumstances.
4 EC-UNDP Procedures for Programming, Identification, Formulation, Implementation and Evaluation

In order to understand the internal procedures of the EC and the UNDP, module four provides an overview over who does what in the field of electoral assistance in the two organisations. It also accounts for the main implementation and financing modalities. Based on the recent developments, in particular the deepening of the EC-UNDP collaboration through the EC-UNDP Strategic Partnership Agreement and the Operational Guidelines, the latter part present the basis for and key components of this cooperation.

5 Procurement of Electoral Material, Global Tools and Networks

Module five has three main components: electoral costs and fund management, procurement and global tools for effective electoral assistance. The first two sections highlight the cost of elections and provide a guide over the co-financial modalities utilised by the UNDP as well as the main advantages of basket funding arrangements. The third part overviews the main objectives and considerations involved in the procurement planning phase. Finally the two last sections offer a general overview over the ACE Electoral Knowledge Network and the BRIDGE project which aims to build capacities in the field of elections through knowledge sharing, networking and training.
How to use the Manual

Throughout the training manual, yellow and green text boxes are used to identify i) key concepts & ideas and ii) further information. Towards the end of each module, the participant will find iii) summary, iv) issues for discussion, v) quiz and vi) print & online resources. All of these are indicated with the following icons:

**Key Concepts & Ideas**
Important concepts, ideas and advice relating to the different stages of the electoral cycle.

**Further Information**
Additional or detailed information on specific issues.

**Summary**
Summary of the most important issues.

**Quiz**
A set of quiz questions allow the participants to test their knowledge level.

**Print & Online Resources**
List of the resources used and referred to in the specific modules.
The EC-UNDP partnership in electoral assistance is unique for its scope, for its achievements and ambitions. It builds on a history of sector experience, specific attention to the lessons learned in previous projects and a mutual understanding of each other's approaches, roles and capacities.

The partnership is proving to be of increasing value to electoral assistance operations to the beneficiary countries, as it allows to rationalize interventions in the area and leads to better and timelier formulation, implementation and monitoring of projects, and is in line with the concepts of enhanced donor coordination from the March 2005 Paris Declaration on Aid Effectiveness. The resulting projects are consequently more effective, sustainable and in harmony with overall EU and UN objectives.

The EC and UNDP have been collaborating in the field of electoral assistance since 1997 but it is since 2004, with the implementation of the project in support of the electoral processes in the Democratic Republic of Congo that the collaboration was strongly intensified, leading to the signature, in 2006, of the "Operational Guidelines for the Implementation of Electoral Assistance Programmes and Projects".

The EC-UNDP partnership in electoral assistance relies heavily on the strong cooperation between services at the level of headquarters for the quality support mechanisms available. These can include, through a Joint Task Force, formulation and monitoring missions, support for the selection of experts and procurement of election material, joint trainings, development of guidelines, gathering of lessons learned and participation to specialized electoral networks.
Training Agenda

- Day 1: EC and UNDP Framework, Lessons Learned, Electoral Cycle and New Approach
- Day 2: Activities that can be implemented in support of the Electoral Cycles with examples taken by case studies
- Day 3: Linking Electoral Cycles to Deepening Democracy Programmes, Support to Parliaments and Political Parties
- Day 4: Presentation of Voter Registration and Electronic Voting Methodologies, case studies, Ghana, Angola, Benin, DRC, Brazil, India, Venezuela
Methodology

- **Output:** publication taking into account the perceptions and feedback from the different stakeholders
- Presentations
- Questions and discussions
- Seminars:
- Interactive clinics:
- Video-material and eLearning:
- Evaluation:
European Commission
United Nation Development Programme
International IDEA

Joint Training on Effective Electoral Assistance
Brussels 1-5 Dec 2008

Evaluation Day 1:
Opening, EU/EC and UN/UNDP Framework, Lessons Learned and the New Approach

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<td><strong>Historical Background and Focus on Effective Electoral Assistance</strong></td>
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### Overall Training Day 1

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#### Overall Training Day 1

#### Interaction and Discussions

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<th>GOOD</th>
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<th>POOR</th>
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<th>POOR</th>
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<tbody>
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<td>COMMENTS</td>
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