



EC-UNDP Workshop on

Formulating and Implementing Electoral Assistance Projects in the Context of the EC UNDP Partnership

"International principles for democratic elections"

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Brussels 1 – 4 February 2011





Looks familiar?

In cooperation with

"The EU Election Observation Mission was invited by XXX government and assessed elections against international and regional standards" (EU EOM)

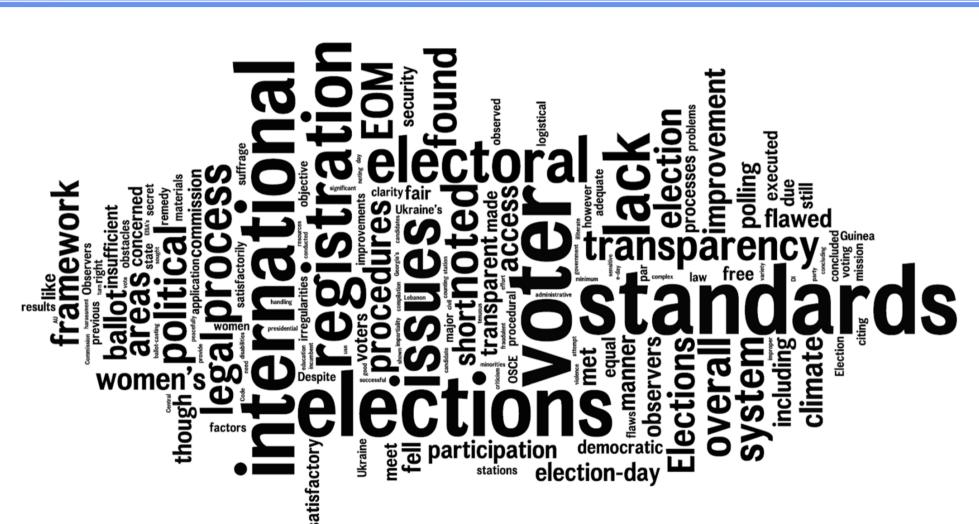
"Support provided to government to conduct free and fair elections and hold referenda that are <u>credible by international standards."</u> (UN Development Assistance Framework)

"The project aims to support the holding of free and fair elections that are in accordance with international standards." (Basket fund Project Document)

"To the international community: press the XXXX authorities and political actors to adhere to internationally acceptable election standards." (Crisis Group report)









Beyond Standards: just semantics?

Standard - a required or agreed level of quality or attainment. A criterion for measuring acceptability, quality and accuracy.

Commitment - the state or quality of being committed to a cause, or to a policy. A pledge or undertaking to which a sovereign country has adhered to.

Obligations - Acts or courses of action to which a person or an organisation is legally bound. The condition of being so bound





Forget Standards: Obligations!

The word standard is also misleading because it suggests that there exists a consistent benchmark for elections

It suggests that there is one standardised way for organising every specific component of an electoral process

There are no such bench-marks and there are no such agreed procedures.

There are obligations based on international instruments!





- Commitments (or obligations) for democratic elections...
- □ stem from political rights and fundamental freedoms in treaties and political pledges.
- □ provide the legal basis for technical assistance in a spirit of partnership and cooperation.
- ☐ represent the principles by which observers assess elections.
- The aim of electoral assistance is to support partner countries meet the international commitments and obligations they have signed up to.





Types of instruments

Public international law on human and political rights

International good practice on democratic elections

- · International Customary Law
- International Treaties
- · Regional Treaties
- Declarations & Political
 Commitments

Experience from democratic elections elsewhere

"...practices relating to the administration of an election that, by the extent of their use in elections, are recognised as ensuring that international obligations can be met."





International Treaty Standards

International Covenant on Civil and Political Rights (ICCPR)

Article 25

Every citizen shall have the right and the opportunity ... without unreasonable restrictions:

- (a) To take part in the conduct of public affairs, directly or through freely chosen representatives;
- (b) To vote and to be elected at genuine periodic elections which shall be by universal and equal suffrage and shall be held by secret ballot, guaranteeing the free expression of the will of the electors;

 In cooperation with



International Treaty Standards

International Covenant on Civil and Political Rights (ICCPR)

Article 19: Everyone shall have the right to <u>freedom of</u> <u>expression</u>

Article 21: The right of peaceful assembly shall be recognised.

Article 22: Everyone shall have the <u>right to freedom of</u> <u>association</u> with others

Article 2: any person whose rights or freedoms...are violated shall have an effective remedy...determined by competent ...authority.



The EC Compendium

INTERNATIONAL HUNAN RIGHTS HORMS AND THE RIGHT TO PARTICIPATION





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Omen												
Pakistan												
Palestinian Authority of the West Bank and Gaza Strip	Ma											
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Ucbakirtan												
VatNam												
Yenen	٠						٠	٠				

15. The Palastinian Authority of the West Bank and Gaza Strip is not a member state of the United Nationa, but it has observer status.

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s a global matrix g the ments and ons each country y or politically ed to

ped for EU ntion missions but applicable in al assistance



^{16.} Thioland has observer status.



- right 1. The and opportunity, without distinction any unreasonable restrictions. for citizens participate in to government and public affairs through:
 - □ Periodic elections
 - **□** Genuine elections
 - **□** Universal suffrage
 - □ Equal suffrage
 - ☐ The right to stand for election
 - ☐ The right to vote
 - ☐ The right to a secret ballot
 - ☐ The free expression of the will of voters

- 2. The freedom of expression
- 3. The freedom of association
- 4. The freedom of assembly
- 5. The freedom of movement
- 6. The freedom from discrimination
- 7. The right to an effective legal remedy





Regional Legal Instruments

☐ African Charter on Democracy, Elections and Governance (2007) and **African Charter on Human and Peoples' Rights (1981 □** ECOWAS Protocol on Democracy and Good Governance Supplementary to the Protocol Relating to the Mechanisms for Conflict Prevention, **Management, Resolution, Peace and Security (2001)** ☐ League of Arab States (LAS - the new Arab Charter on Human Rights of 2004) □ OAS Charter and American Declaration of the Rights and Duties of Man (1948)**☐** American Convention on Human Rights (1969) ☐ CoE Convention for the Protection of Human Rights and Fundamental Freedoms (1950)





International Treaty Standards

International Convention on the Elimination of All Forms of Racial Discrimination, 1965

Convention on the Elimination of All Forms of **Discrimination against Women**, 1979

Convention on the rights of persons with disabilities, 2006





Looks familiar?

"Experts come with their 'standards' – but they're not relevant here and they're inappropriate..."





Other Initiatives

- **□** IPU Declaration on Criteria for Free and Fair Elections (1994)
- **☐** Venice Commission Guidelines on Elections(2002)
- **□** UNHRC General Comments to the ICCPR
- **□** SADC Principles and Guidelines Governing Democratic Elections





1. The right and opportunity, without any distinction or unreasonable restrictions, for citizens to participate in government and public affairs through:

- ☐ Periodic elections
- **☐** Genuine elections
- Universal suffrage
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International Customary Law

Universal Declaration of Human Rights (1948)Article 21

- (1) Everyone has the <u>right to take part</u> in the government of his country, directly or through freely chosen representatives
- (2)The will of the people shall be the basis of the authority of government; this will shall be expressed in periodic and genuine elections which shall be held by universal and equal suffrage and shall be held by secret vote or by equivalent free voting procedures.





International Customary Law

Universal Declaration of Human Rights (1948)

Article 19

Everyone has the right to freedom of opinion and expression.

Article 20

Everyone has the right to peaceful assembly and association.







European Union Election Observation Mission Republic of Yemen

Presidential and Local Elections - 20 September 2006

PRELIMINARY STATEMENT

Open and genuinely contested elections provide a real opportunity to tackle
Yemen's remaining democratic shortcomings

Sana'a

The European Union Election Observation Missis August 2006 following an invitat In from the Su Mission is led by Chief Observer Baroness Nich total, the EU EOM deployed 119 observers from 2 to assess the whole electoral process in accordan On election day, the observers sisted over 1040 p of Yemen to observe voting and counting. The Eresult tabulation procedures and the complaints observe all aspects of the post-election pre recommendations, within two months of the comels

Political Context

Executive Summary

The 20 September presidential and local elections in Yemen have so far seen an open and genuine contest take place that presented a notable opportunity in the region for an incumbent head of state to face a real challenge at the polls. These factors constituted a significant and positive development in Yemen's democratisation process. Nevertheless, the electoral process

EU Election Observation Mission Yemen 2006 Presidential and Local Elections Preliminary Statement Page 2 of 8 21 September 2006

played within its structures by government appointees and security officials created a perception of partisanship towards the GPC in its decision-making process.

The legal framework for these elections largely met international standards for democratic elections but contained a number of problematic aspects that need to be addressed, especially in

relation to the registration of candida Public confidence in the implementa judiciary.

Over 9 million voters were registered voters than were registered for the 2 voter register experiment some produplicate entries and ineligible name deletion of over 20,000 names but proper opportunities for voters and po

Arts 21 (1,3) UDHR and 25 ICCPR

The election compaigns were active took place and there were few report all sides respected the right of others to campaign, there were a number of instances where the tolerance of the campaign was undermined by aggressive political rhetoric. Several candidates and supporters of the JMP were arrested on charges that would appear to have been politically motivates. State agencies, especially the police and military, showed overwhelming support for the incumbent, while state resources were frequently used in support of the ruling party. There were credible reports of pressure upon local candidates, especially women candidates, to

Voters were provided with a clear choice between the ruling General People's Congress and the opposition Joint Meeting Parties (JMP), with campaigns focused around their candidates for president, the incumbent President Saleh and Mr Faisal Bin Shamlan. Both sides gave repeated public commitments to hold credible and peaceful elections and reached a political agreement on 18 June 2006 that provided a framework to ensure cross-party participation in the elections. In total, five candidates were registered to take part in the presidential contest and over 20,000 ran for local office. Women were seriously under-represented in the elections.

Overall, voter turnout was reported to be 58 per cent. EU observers positively evaluated voting procedures in 82 per cent of the polling centres visited in spite of a large number of irregularities observed. There were particular problems with overcrowding and the following of procedures in female polling stations. Counting was reported to take place in accordance with the law.

The elections were generally well-run and efficiently organised by the Supreme Commission for Elections and Referendum (SCER), which took many steps to promote transparency and political inclusiveness. Significantly, the SCER had a multi-party composition at all levels but the role sitive coverage of the presidential campaign and in the local elections in any depth. The state access and free airtime to presidential candidates orting of the activities of President Saleh and the freedom of the media in Yemen, there were no nidation against journalists during the campaign

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In cooperation with



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European Union Election Observation Mission Republic of Yemen

Presidential and Local Elections - 20 September 2006

PRELIMINARY STATEMENT

Open and genuinely contested elections provide a real opportunity to tackle Yemen's remaining democratic shortcomings

Sana'a, 21 September 2006

The European Union Election Observation Mission (EU EOM) has been present in the Republic of Yemen since 12 August 2006 following an invitation from the Supreme Commission for Elections and Referendum (SCER). The Mission is led by Chief Observer, Baroness Nicholson of Winterbourne, Member of the European Parliament. In total, the EU EOM deployed 119 observers from 22 EU Member States. The observers were deployed across Yemen to assess the whole electoral process in accordance with international principles for genuine democratic elections. On election day, the observers without one 1040 polling stations in 340 polling control in 12 of the 21 appearance.

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E-day activities
Art. 25 ICCPR

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EU Election Observation Mission Yemen 2006 Presidential and Local Elections Preliminary Statement Page 2 of 8 21 September 2006

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The legal framework for these elections largely met international standards for democratic elections but contained a number of problematic aspects that need to be addressed, especially in relation to the registration of candidates and the process for submitting complaints and appeals. Public confidence in the implementation of the rule of law in Yemen is challenged by a weak judiciary.

Over 9 million voters were registered to take part in the election, including 1.5 million more voters than were registered for the 2003 parliamentary election. However, the updating of the voter register experienced some problems and resulted in the inclusion of a high number of duplicate entries and ineligible names. A review of the voter register by the SCER led to the

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Results
Management
Art. 25 ICCPR

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Voting on 20 September proceeded well and was generally peaceful. Regrettably, however, there were several reports of violence including five deaths in election-related incidents. Overall, voter turnout was reported to be 58 per cent. EU observers positively evaluated voting procedures in 82 per cent of the polling centres visited in spite of a large number of irregularities observed. There were particular problems with overcrowding and the following of procedures in female polling stations. Counting was reported to take place in accordance with the law.

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Preliminary Statement Page 2 of 8 21 September 2006

PRELIMINARY

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Executive Summary

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The election campaigns were active and generally peaceful. Many well-attended public rallies took place and there were few reports of restrictions on the right to campaign freely. Although all sides respected the right of others to campaign, there were a number of instances where the tolerance of the campaign was undermined by aggressive political rhetoric. Several candidates and supporters of the JMP were arrested on charges that would appear to have been politically motivated. State agencies, especially the police and military, showed overwhelming support for

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Art 21 and 25 ICCPR

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EU Election Observation Mission Yemen 2006 Presidential and Local Elections Preliminary Statement Page 2 of 8 21 September 2006

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Electoral Campaign

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European Union Election Observation (Republic of Yemen

Presidential and Local Elections 20 Sep

PRELIMINARY STATEMEN

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Media

Sections

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19 ICCPR

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European Union Election Observation Mission Republic of Yemen

Presidential and Local Elections - 20 September 2006

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Gender Issues

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EU Election Observation Mission Yemen 2006 Presidential and Local Elections

reliminary Statement Page 2 of 8 21 September 2006

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EU Election Observation Mission West Bank & Gaza 2006 Palestinian Legislative Council Elections

Statement of Preliminary Conclusions and Findings Page 4 of 7 26 January 2006

an effective and inclusive voter education programme in association with a number of civil society actors.

Public confidence and trust in the independence of the CEC is deservedly high but its integrity and authority were challenged by direct attempts to influence its decision-making when its offices in several locations were taken over by armed groups during the candidate registration process and in the early stages of the campaign. Such acts of violence, intimidation or pressure against the CEC and its staff are unacceptable within a democratic election and yet, regrettably, the perpetrators of these acts — many of whom have links to Fatah — have gone unpunished, reflecting a wider culture of impunity amongst members of militia groups in Palestine in their use of threats and violence.

place in barracks rather in the locations where they were registered to vote, as according to the law. Ensuring opportunities for voting by security forces had been a problematic issue in previous elections and the solution reached, whereby votes were cast in special polling centres in each district over 21-23 January, was an effective arrangement.

There was an open process for the nomination and registration of individual district candidates and candidates on national lists. A total of 728 candidates were included in the final lists of candidates and, in contrast to the 1996.

Election Administration

discussion between the CEC and the Office of the PA President.

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made to the CEC during the ca nplaints and acting against violat in to enforce the law, although it office for consideration. The West Bank, sent to all district uplaint was addressed only throu Right to Security of Person - Art. 9 ICCPR

Voter Registration

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A total of 1,332,499 voters were registered for this election, an impressive 21 per cent increase on the number of voters registered for the January 2005 presidential election that reflected the effective steps taken by the CEC to improve the accuracy of the voter register. Regrettably, public access to the final register of voters was restricted and it was not published by the CEC until polling day, although it was made available on request to candidates. It is unfortunate that, for security reasons, the voter register for the security forces was not made available at any stage thus preventing any independent cross-checking of the persons for double registration. The registration of an estimated 123,000 voters in East Jerusalem was not permitted by the Israeli authorities.

Campaign

The campaign period was generally calm and saw a stabilisation in the general security situation that enabled active campaigning to take place. Overall, the campaign was notable for its positive tone and there were no reports of provocative rhetoric or hate speech. In comparison to the 1996 and 2005 elections, there was a notable drop in reports of the use of state resources by candidates in campaign. Despite many large rallies, there was no major incident related to the campaign, although two activists were killed in events that may have been election-related. There are several complaints that campaigning occurred inside mosques. An innovative and







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an effective and inclusive voter education programme in association with a number of civil society actors. Moreover, the CEC showed itself to be capable of efficiently implementing arrangements for voting in East Jerusalem and for security forces that were agreed to at late notice.

Public confidence and trust in the independence of the CEC is deservedly high but its integrity and authority were challenged by direct attempts to influence its decision-making when its offices in several locations were taken over by armed groups during the candidate registration process and in the early stages of the campaign. Such acts of violence, intimidation or pressure against the CEC and its staff are unacceptable within a democratic election and yet, regrettably, the perpetrators of these acts — many of whom have links to Fatah—have gone unpunished, reflecting a wider culture of impunity amongst members of militia groups in Palestine in their use of threats and violence.

Separately, unwarranted political interference in the work of the CEC came from the Ministry of Interior which sought to change the arrangements for early voting by over 58,000 security forces so that voting would take place in barracks rather in the locations where they were registered to vote, as according to the law. Ensuring opportunities for voting by security forces had been a problematic issue in previous elections and the solution reached, whereby votes were cast in special polling centres in each district over 21-23 January, was an effective

Electoral Campaign

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mainor cases, complaints have been passed to the Prosecutor's Office for consideration. The most serious complaint related to a letter from the Chief of Civil Police of the West Bank, sent or all district police chiefs, instructed police to vote in favour of the ruling party. This complaint was addressed in only through an informal discussion between the CEC and the Office of the PA President.

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Right to Access to Information Art. 19 ICCPR





Observation and Assistance

pre

Facilitate and feed the political dialogue

period

Structural
reforms and
long-term
capacity
development

Observers

recommendations are crucial to reform debate

adopt and apply lessons and recommendations

election period

real **beginning** of a new cycle

there is no immediate operational and political **pressure**

opportunity for **review**, strategic thinking, planning and action



In movement

The independent evaluation and analysis of the reasons why certain principles cannot be met provides the basis for the future programming of electoral and democracy assistance

The new value of the Final Report. A snapshot of the state of democracy in the partner country, a documentation of the gap between legal obligations and real practice

The importance of the recommendations as the EU EOM legacy

Redefining the notion of EU EOM independence

Need to involve the EU institutions on the ground in the post electoral period



Types of Instruments

- ☐ International and regional instruments
- ☐ Treaty-based
- Non Treaty-based
- **□** Political commitments
- ☐ General comments and recommendations

